THE GOVERNING PRINCIPLES OF ANCIENT CHINA

Based on 360 passages excerpted from the original compilation of Qunshu Zhiyao

English Translation Group of Qunshu Zhiyao 360

Chung Hua Cultural Education Centre (M) Bhd
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Preface

At the beginning of the Zhenguang Era, Emperor Taizong (599-649) of the Tang dynasty decreed that *Qunshu Zhiyao (The Compilation of Books and Writings on the Important Governing Principles)* be compiled. At the tender age of sixteen, Taizong enlisted himself in the army, and joined his father’s forces to try to stop the turmoil that was going on in the society. For more than ten years, he dwelt himself in military matters. After he ascended to the throne at the age of twenty-seven, he laid down his armor and began to promote culture and education, paying particular attention to the principles of governing, and bringing peace to the country. He sought to rejuvenate the nation from the aftermath of civil strife by restoring order to life, lessening the burdens of ordinary people, and increasing prosperity.

Although Taizong was an intelligent, brave, and eloquent man, he regretted that his earlier military expeditions had prevented him from obtaining much formal education. He also learned from the mistakes made by the fallen Sui
Qunshu Zhiyao 360
dynasty and realized that to start a new dynasty was no
easy task, and to sustain it would be even harder. Hence
during his reign, he encouraged his ministers to point out
his mistakes and to candidly criticize his imperial policies.
To make up for lost time, Taizong ordered two advisors,
the honorable Wei Zheng and Yu Shinan, to comb through
all the historical records on imperial governing principles
from the Six Classics, the Four Collections of History
and the Hundreds of Schools¹, and to extract the most important
lessons related to the cultivation of oneself, management of
family, good government, and ways to bring about peace
in the world. The result was a collection, titled Qunshu
Zhiyao, carefully excerpted from 14,000 books and 89,000
scrolls of ancient writings—500,000 words in all, and
covering sixty-five book categories—dating from the
era of the Five Legendary Emperors² to the Jin dynasty.³

This truly is a treasured compilation on governing
principles that can bring about peace and order, which
“when used in the present, allows us to examine and
learn from our ancient history; when passed down to our
descendants, will help them learn valuable lessons in life.”
Those were the words written by Advisor Wei Zheng in

¹The Six Classics are: Shijing 詩經 (Book of Odes), Shangshu 尚書 (History
of Antiquities), Yili 儀禮 (Etiquettes and Ceremonies), Yuejing 樂經 (Book
of Music), Yi-Jing 易經 (Book of Changes), and Chunqiu 春秋 (Spring and
Autumn Annals). Sishi 四史 (The Four Collections of History) are: Shiji 史記
(The Records of History), Hanshu 漢書 (The Book of Han), Houhanshu 後漢書
(The Book of Later Han), and San’guozhi 三國志 (Records of the Three
Kingdoms). Zhuzi-Baijia 諸子百家 (The Hundreds of Schools) are schools
of philosophies that sprang up during the Spring and Autumn, and Warring
States periods, circa 770 -221 BC.
²The Five Emperors of antiquity (circa 2600 BC) are: Emperor Huang 黃帝,
Emperor Zhuanxu 顓頊, Emperor Diku 帝嚳, Emperor Yao 尧, and Emperor
Shun 舜.
³Jin dynasty (265-420)
the preface of the completed compilation. Emperor Taizong was extremely pleased with the broad yet concise compilation and would not let the books out of his sight. He said: “The collection has helped me learn from the ancients. When confronted with issues, I am very certain of knowing what to do. This is all due to your efforts, my advisors.” From here we can see that the subsequent peace and prosperity of the Zhenguang Era was attributed greatly to this compilation! This treasure is truly a must-read for all politicians.

However, by the beginning of the Song dynasty, Qunshu Zhiyao disappeared from circulation. This is due to the fact that Chinese woodblock printing was not well developed at that time. The History of Song also did not show any record of this compilation. Fortunately, the Japanese Kanazawa Bunko museum collection had a complete manuscript hand-copied by Japanese monks during the Kamakura period (1192-1330). The Japanese returned the books to China in the 60th year of the reign of Emperor Qianlong, and they became the master copy for the Four Series Books published by the Commercial Press (Shanghai) as well as publications used by Taiwan.

At the end of 2010, I was fortunate to obtain a copy of Qunshu Zhiyao. I read the work repeatedly, and I was filled with immense joy that eternal stability and peace to the entire world can be brought about by the cultural teachings of our ancient saints and sages. I deeply feel this is so. The most important thing is that the Chinese people themselves must truly comprehend and embrace this traditional culture, to stop doubting and start believing. The teachings of the saints and sages constitute an embodiment of the true
self-nature of all beings that transcends time and space. Even now, they still hold true. The key learning point hinges on two words “sincerity and respect.” The article “Summary of the Rules of Propriety” says: “Always and in everything let there be reverence.” Emperor Kangxi of the Qing dynasty said: “When a ruler interacts with his people, the element of respect is fundamental.” He also said: “All the teachings that have been passed down through thousands of years is no more than sincerity and respect.” The prominent Song scholar Chengzi said: “Respect triumphs over all evils.” These sayings illuminate the fact that in order to cultivate ourselves, advance our virtues, help people, and benefit the world, only sincerity and respect can enable us to perfectly achieve these. If we lack respect and sincerity toward the teachings of ancient sages and virtuous emperors, little benefit will be gained even if we had read the ancient texts extensively. Confucius stressed that he only cited the teachings of others and did not create his own. He believed in and loved the teachings of the ancients.

The honorable Dr. Sun Yat Sen, in his speech on the Three Principles of the People (Principle of Nationalism Fourth Lecture), said: “The advancement in science and material civilization in Europe only happened mostly in the last 200 years. But when it comes to the essence of political philosophy, Europeans still need to look to China for answers. Gentlemen, you all know that our world’s best scholars come from Germany. But today’s German scholars are studying Chinese philosophies as well as Buddhism from India to correct the bias in science.”

British historian Professor Arnold Toynbee asserted: “In order to resolve the social problems of the twenty-first
century, we must rely on the teachings of Confucius and Mencius, and on Mahayana Buddhism.” Look at the chaos and uncertainties that we face today. If we truly want to save the world and save China, only traditional Chinese cultural education will provide the answers we seek. The wisdom, the ideas, the methods, the experience, and the results of governing, which are all in Qunshu Zhiyao, are crystallized from thousands of years of trials and tribulations. They are indeed precious! If we can fully comprehend and apply these principles, world peace and individual bliss can be achieved naturally. If we do not follow these principles of governance, we will be inviting endless catastrophes and tragedies.

I realized that the reappearance of Qunshu Zhiyao indicates the sacred mission of this compilation. I am happy to print 10,000 copies, to be published by the World Book Company, to be given away to political parties and various leaders in mainland China, Hong Kong, Macao, Taiwan, and around the world, for them to learn about the essence of governing. This way, a harmonious society and a peaceful world will not be far away. I am pleased to know that Qunshu Zhiyao will soon be circulated again in the near future. At the invitation of Ms. Yan Chu I wrote these few words to express my heartfelt praise for the realization of this project.

Chin Kung
December 28, 2010
Hong Kong
Qunshu Zhiyao 360 Editorial Notes

Qunshu Zhiyao, The Compilation of Books and Writings on the Important Governing Principles, is a compilation containing advice, methods, and historical notes on the successes and failures of the imperial government of China. This compilation takes us through thousands of years of Chinese political thinking, and offers us some valuable leadership principles which not only helped the great Tang Emperor Taizong to establish the glorious Reign of Zhenguan, but which will also prove valid as points of reference for contemporary leaders. As for the general public, this compilation is a great source of inspiration for self-improvement, family management and human relations.

Our Centre’s advisor, Venerable Prof. Chin Kung, is mindful of the importance of passing on traditional Chinese culture. When he received a copy of the Qunshu Zhiyao at the end of 2010, he immediately commissioned the World Book Company to print the compilation for distribution, as he deeply believed that this compilation contains remedies that can cure today’s problems. In 2011,
when Prof. Chin Kung came to Malaysia and visited the Prime Minister, the honorable Dato’ Sri Mohd Najib Razak, and the former Prime Minister, Tun Dr. Mahathir Mohamad, he briefly introduced the contents of the book to them. Both leaders expressed their wish to see the English translation as soon as possible. Hence, Prof. Chin Kung came up with the idea of extracting 360 quotes from *Qunshu Zhiyao* and translating them into modern Chinese and other languages to facilitate easy daily reading. He entrusted the Centre with the task, and this marked the beginning of the *Qunshu Zhiyao 360* project. Prof. Chin Kung hopes that over the course of the next ten years, 360 quotes will be selected each year from the compilation to be translated into different languages and distributed internationally. He believes that this is the biggest contribution toward peace that China can offer to the world.

*Qunshu Zhiyao* is made up of extracts from various classics, histories, and the works of the saints and sages. It consists of a total of 65 books compiled in 50 scrolls/volumes. This version of *Qunshu Zhiyao 360* groups the contents of *Qunshu* into six chapters, namely: The Way of a Leader, The Art of a Minister, Esteeming Virtues, On the Subject of Administration, Respectfully Cautious, and Discerning. Each chapter is given sub-headings that substantiate the related key points. It is hoped that this edition will enable readers to grasp the essence of *Qunshu Zhiyao*.

A point worth mentioning here is that the term for “ruler” in the original Chinese is gender neutral. This book has chosen to use masculine pronouns and possessive pronouns, partly to enable the sentences to flow more smoothly, and partly
to reflect the norms of ancient China where government was run mostly by men. No gender bias is intended here, particularly in view of the fact that today’s women have increasingly taken up important positions in government.

When the task of translating *Qunshu Zhiyao 360* into English began, many kind individuals from Australia, Canada, China, Hong Kong, Taiwan, Malaysia and United States took time out of their busy schedules to help us complete this project. Without their generous contribution, this translation would not have been possible. Here, we would like to express our sincerest gratitude to all of them.

Upon completion of the first edition and with the feedback that we received, we have refined the translation and addressed punctuation errors, style inconsistency, and typo issues in this second edition. Conventions used in this edition include:

**Italic:** Indicates non-English word, book title, and transliterated Chinese terms.

**Romanization system:** This book uses the Hanyu Pinyin romanization system for transliterated names and terms. Exceptions for figures whose customary transliteration is more common than pinyin, like Sun Yat Sen, the founding father of the Republic China, or, whose English name is more familiar to western readers, such as Confucius.

**Names of emperors:**
i. According to *Shiji* 史記 (*The Records of History*), The Five Emperors 五帝 (denoting much more reverential status than the modern term “Emperor”) are Emperor
Huang 黃帝, Emperor Zhuanxu 顓頊, Emperor Diku 帝嚳, Emperor Yao 尧, and Emperor Shun 舜.

ii. From the Shang dynasty to the Qin dynasty (1600BC-221BC), rulers usually held the title Wang 王, “King”. Example: King Cheng 成王, King Kang 康王, King Wen 文王 and King Wu 武王. With the division of China into separate Warring States, this title had become so common that the unifier of China, Qin Shi Huang 秦始皇 (the “First Emperor of Qin dynasty”), created a new title of Huangdi 皇帝 “Emperor”, thus claiming legendary status for himself. The title of Emperor of China continued to be used for the remainder of China’s Imperial history, right down to the fall of the Qing dynasty in 1912.

iii. Before the Tang dynasty: Use posthumous names, such as Han Wu Di 漢武帝, Emperor Wu of Han.

iv. Emperors of the Tang, Song, Liao and Jin (1115-1234) dynasties: Use temple names, such as Tang Taizong 唐太宗 (“Emperor Taizong of Tang dynasty.”)

v. Emperors of the Ming dynasty and Qing dynasty: Use era names (same as reign names), such as Emperor Kangxi 康熙.

Order of names:
i. Personal names in Chinese present the family/clan name first. For example, Lin Xiangru 麗相如, with “Lin” being the family name.

ii. In ancient China, the word zi 子 is given to one’s respected teacher after the teacher’s last name. In this case,
zi will join the last name like, Zengzi 曾子, Mozi 墨子.

iii. Book titles named after the author: Last name and given name will be separated. For example, the book Mo Zi 墨子, was written by Mozi, founder of the Mohist School.

iv. Where a respectable title consists of 2 words, such as Tai Gong 太公, Qing Zi 卿子, the title will not be joined to the last name. Example: Jiang Tai Gong 姜太公 (The Grand Duke Jiang), Sun Qing Zi 孫卿子 (The Respectable Master Sun).

We continue to look forward to receiving your input as to how this abstract may be improved, especially at places where meanings might have been wrongly conveyed during the course of translation.

Last but not least, may all of you enjoy a blissful family life and career, and join our hands to bring PEACE to this world.

Sincerely
English Translation Group of Qunshu Zhiyao 360
October 2012
Chapter One
THE WAY OF A LEADER
1. Almost a thousand years have passed since the heyday of King Cheng and King Kang, and many rulers having tried to attain the same glory. But this golden era of peace and prosperity never returned. Why has this been so? It is because rulers have forsaken the law and moral standards, and have instead pursued selfish desires, spoiling themselves with extravagance, and totally neglecting the practice of benevolence and righteousness.

   Scroll 19: Han Shu, Vol. 7
2. In general, anything that develops too fast will fall apart just as quickly, whereas a slow and steady development is more assured of yielding favorable results. Plants that unravel into full bloom in early morning may wither and fall by the evening, but the slow-growing pine trees will not wither even in the extreme winter cold. Hence, a superior person\(^4\) does not hasten to achieve results.

Scroll 26: *Wei Zhi*, Vol. 2

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\(^4\) *Superior person, junzi 君子* deserves a special mention here because it is a central notion in Confucian philosophy. It embodies an ideally ethical and capable person, sometimes meaning a power holder, which is its original sense. The term is a compound word composed of two written characters, which separately means “ruler’s son.” Under the changing social conditions of the Warring States period, the concept of birthright was replaced by the notion of an “aristocracy of merit,” and in the Confucian school, the term *junzi* came to denote an “ethical aristocrat” rather than a future king. The hallmark of the *junzi* was his complete internalization of the virtue of *ren* (benevolence) and associated qualities, such as, *yi* (righteousness) and full socialization through ritual skills. –Bob Eno, *The Analects of Confucius*, 2010.
3. Duke Yi of the state of Rong was known for monopolizing wealth and profits for himself and for being oblivious to its adverse effects on the society. One should know that wealth and profits are the very sources of survival for hundreds of materials nurtured between heaven and earth. To monopolize them will give rise to an unbalanced situation thereby causing much harm. How can one monopolize the resources when they are needed by so many? To do so will arouse anger from the public. If we teach our lord to monopolize resources instead of urging him to take precaution against major disasters, can his reign last long?

 Scroll 11: Shi Ji, Vol. 1
4. Craving for visual splendor can distort our vision and impede our ability to see the truth about things. Basking in musical amusement can numb our hearing and impede our ability to appreciate the finer meanings in music. Excessive indulgence in fine cuisine can dull our taste buds and impede our ability to appreciate the food. Wallowing in the thrill of game hunting can make us reckless and lose our sanity. Being desirous of rare and precious objects can cause our greediness to grow and drive us to behave wickedly.

Scroll 34: *Lao Zi*
5. King Yu of antiquity said: “If a ruler is obsessed with womanizing and hunting, drinking fine wine, singing and dancing, living in lofty mansions with intricate wall paintings and carvings; any one of these will surely bring forth the ruin of his country.”

Scroll 2: *Shang Shu*
6. Hence, a ruler who can ruin a country will be a leader zealous about expanding his territory but unconcerned with his duty to advance benevolence. He is concerned with pursuing a position of great authority but does not care too much about promoting virtues. By doing this, he has in fact given up all the conditions that can assure his country’s survival. Inevitably he will lead the country to a path of destruction.

Scroll 35: Wen Zi
7. Nothing can do more harm to a leader than widespread knowledge of the fact that he craves adoration and popularity. Once a leader falls into the traps of wanting an inflated name for himself, his officials will know what he wants and conform to his wishes.

Scroll 48: Ti Lun
8. The ancients said: “If a farmer refuses to work, some people will starve. If a woman refuses to weave, some people will suffer in the cold.” When the growth of all things is limited by seasons but we consume them as if they will be available without limitation, the resources will sooner or later be depleted. The ancients governed and planned meticulously and they would have had the foresight to ensure the treasury had enough reserves to sustain the nation.

Scroll 14: *Han Shu*, Vol. 2
9. 故脩身治國也，要莫大於節欲。傳曰：「欲不可縱。」歷觀有家有國，其得之也，莫不階於儉約；其失之也，莫不由於奢侈。儉者節欲，奢者放情。放情者危，節欲者安。（巻四十七 政要論）

9. Thus, to cultivate oneself in order to rule a country, nothing can be more significant than to restrain one’s desires. The book of Li Ji said: “Don’t give in to desires.” We have seen rulers and senior ministers of the past and present had achieved success through hard work and lived frugally, and that those who failed did so were extravagant and wasteful. Frugal people will restrain their desires but spendthrifts will let their desires run free. Self-gratification will endanger one’s life while moderation will keep one safe.

Scroll 47: Zheng Yao Lun
10. Before a ban has been stated clearly by the government, severe punishments were enforced to suppress disorderliness. Before a well-planned military strategy has been devised, the armed forces were deployed in full force to invade a neighboring country. Is this not like cutting down the crops in order to destroy the locusts; or chopping down trees to get rid of infestations of worms or bugs; or swallowing poison in order to kill lice and fleas; or tearing down a house in order to chase away sparrows and rats?

Scroll 50: *Bao Pu Zi*
11. Thus, a sage ruler always has the welfare of the people at heart. He would never wage a personal vendetta at the expense of public justice.

   Scroll 17: *Han Shu*, Vol. 5
Emulate good deeds

12. Confucius said: “When I walk along with others, they will serve me as my teachers. I will select their good qualities and follow them; but as for their bad qualities I will correct them in myself.”

Scroll 9: *Lun Yu*
改過

Correcting our own mistakes

13. 子曰：「過而不改，是謂過矣。」（卷九 論語）

13. Confucius said: “To make a mistake and not correct it is a real mistake.”

Scroll 9: Lun Yu
14. Zigong said: “The faults of a superior person are analogous to the eclipses of the sun and the moon. When he is at fault, everyone can see his faults clearly. But when he corrects his faults, everyone will look up to him with respect.”

Scroll 9: Lun Yu
15. The government of the ancient sage-kings had official historians who recorded the mistakes made by the ruler, and official musicians to sing ballads to remind the ruler of his mistakes. Ordinary folk could be heard making criticisms against the ruler on the roadside, and businessmen could be heard discussing the ruler’s faulty actions in the marketplace. Thus, sage rulers were able to hear about their mistakes and correct them, and to implement sensible policies that were just and honorable. These were factors that contributed to the longevity of their government.

Scroll 17: Han Shu, Vol. 5
16. The most serious blunder we can make is in knowing we have failings and yet we refuse to correct them until such failings harm and cost our life.

Scroll 31: Yu Zi
17. The ancients said: “There are two things that individuals will find difficult to achieve in life—One is a willingness to accept and correct their own faults; another is the wisdom to know when to point out and correct the faults of others.”

Scroll 46: Zhong Lun
18. Confucius said: “A leader who loves his parents will not despise the parents of other people, and as he respects his parents he will not be contemptuous of the parents of other people. A leader who is wholeheartedly dedicated, with love and respect, to taking care of his parents will impart the same highest degree of virtuous conducts to teach and reform his people, setting an exemplary standard for the whole world to follow. This is the filial piety of the Son of Heaven (tian zi)\(^5\) The book of Lü-Xing said: ‘When a leader respects and loves his parents, all his people will trust and rely on him, and so the nation will enjoy long and lasting stability.’ ”

Scroll 9: Xiao Jing

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\(^5\)Since King Wu founded the Zhou dynasty (1134BC to 256BC), he proclaimed that he was the Tian Zi (Son of Heaven) and from that time on all the future kings and emperors called themselves the “Son of Heaven”.
19. 昔三代明王之必敬妻子也，蓋有道焉。妻也者，親之主也；子也者，親之後也；敢不敬與？是故君子無不敬也。敬也者，敬身為大；身也者，親之支也，敢不敬與？不敬其身，是傷其親；傷其親，是傷其本也；傷其本，則支從而亡。三者，百姓之象也。身以及身，子以及子，妃以及妃，君修此三者，則大化愜於天下。（卷十 孔子家語）

19. There were reasons why the sage kings of Xia, Shang and Zhou dynasties loved and respected their wives and children. For the wife, she was the key lady attending to matters related not only to the rites of remembrance of the ancestors, but also to the king’s parents, as well as to the education of his heirs. As for the children, they were the heirs to the king’s legacy. So how could the king not be respectful of his wife and children? A king therefore will not be disrespectful toward anybody. With regard to the
virtue of respect, a person will first respect his own self, for his life is an extension of his parents. How can he not be respectful of himself? Not respectful of one’s self is tantamount to hurting one’s parents. Hurting one’s parents is amounting to hurting one’s root, and when the root is damaged the branches will die off subsequently. Since the commoners and the king both have these three things in common—own self, wife and children, they will naturally follow the king’s example. As the king respects his own self he extends this respect to other people. As he loves his children he extends this love to the children of other people. And as he respects his wife he extends this respect to the wives of other people. When a king can manage these three matters well, this profound and far-reaching education will then be able to spread to the whole world.

Scroll 10: Kong Zi Jia Yu
20. Although a leader may love his relatives deeply, he should maintain his authority over them or they will become arrogant and disrespectful. The status of the relatives may be privileged but they must be held accountable by law in order to restrain them from behaving wildly and uncontrollably.

Scroll 24: *Hou Han Shu*, Vol. 4
21. Good deeds and good people are revered because they embody propriety and justice. Bad deeds and villains are despised because they embody wickedness. Now that we use what is revered to teach and demand the common people to behave well on the one hand, but use what is despised to teach and allow members of the royal family to behave repulsively on the other hand, is this not going against moral and virtue?

Scroll 45: Chang Yan
22. The foundation of virtue is built upon a mind that is righteous. When the mind of a ruler is righteous, his conduct will be righteous. When his conduct is righteous, the conduct of his ministers will be righteous. When the conduct of his ministers is righteous, the government will be just. When the government is just, the country will be just. And when the country is just, the whole world will be just.

Scroll 49: Fu Zi
23. Zengzi said: “Every day I reflect upon three things: Have I done my best to do my job well? Have I been a trusted friend? Have I put into practice lessons given to me by my teacher, or prepared my lessons before teaching them to my students?”

Scroll 9: Lun Yu
24. The Son of Heaven does not speak playfully. Once said, official historians will record it; ceremonial proceedings will be held to fulfill it, and songs will be sung to glorify it.

Scroll 11: Shi Ji, Vol. 1
25. Confucius said: “Reprimand yourself harshly but reprimand others more forgivingly. You will avoid making enemies this way.”

Scroll 9: *Lun Yu*
26. If a leader is unvirtuous he will bring danger to the nation and chaos to his people. A virtuous leader, on the other hand, will bring stability to the nation and order to his people. The fate of a nation therefore lies in the hands of a good ruler who is capable and wise, independent from the changing of seasons.

Scroll 31: Liu Tao
27. King Tang who founded the Shang dynasty said: “If in my person as a king I have committed offenses, oh Lord of heaven, do not hold the people of the myriad regions responsible. If people in the myriad regions committed offenses, let the punishment fall on me alone, for I have not taught people the proper way to behave.”

Scroll 9: Lun Yu
28. When a sage ruler committed a mistake, he would reflect upon the mistake and correct it. When he accomplished any achievement, he would attribute the achievement to the people. The self-reflection would help him to stay disciplined, while the attribution of success to others would bring great joy and happiness to the people. Making people feel happy while he remained watchful over his own actions is the successful governing principle of a good leader.

Scroll 32: Guan Zi
29. In the book of *Zuo Zhuan*, it was said: “King Yu and King Tang took all blame upon themselves and their countries prospered. King Jie and King Zhou put all blame upon others and their downfall was hastened.” Hence, we can see that the key to a good and lasting government is dependent on the virtuous character of the leader.

*Scroll 47: Zheng Yao Lun*
30. Mencius said to Duke Xuan of the state of Qi: “When a lord treats his subordinates like brothers, they will pledge allegiance to him in return. When a lord treats his subordinates like slavish animals, they will regard him as a stranger on the street. When a lord treats his subordinates like dirt and weeds, they will regard him as a robber and an enemy.”

Scroll 37: *Meng Zi*
31. Duke Jing of the state of Qi asked Yanzi: “The task to bring wealth to the people and stability to the state—Will this be difficult to achieve?” Yanzi said: “Not difficult at all. Frugality on the part of the ruler will bring prosperity to the people, and fair trials will bring stability to the state. Doing these two things well will suffice.”

Scroll 33: Yan Zi
32. A sage ruler worries about three things. His first worry is that his high position may shelter him from hearing criticisms of his mistakes. Next, he worries that his success may spur him to arrogance. And last, he worries that he may not be able to govern based on the truth and reality that he had gathered from all quarters of the society.

Scroll 43: *Shuo Yuan*
33. Once upon a time, a severe drought hit the land ruled by King Cheng Tang. Cheng Tang then used the following six questions to reproach himself: “Is my governance not in accord with laws and regulations? Have the people been made to labor too hard? Are my palace and dwellings too luxurious? Have the favored court ladies interfered too much in politics? Have briberies become rampant? Have the obsequious and the slanderers become too reckless?”

Scroll 22: *Hou Han Shu*, Vol. 2
34. Zengzi said: “The government has deviated from the righteous way of leadership and the people have long been left to their own devices. If you can finally uncover the truth behind the making of a crime, you ought to be sympathetic toward the criminals instead of being delighted in your ability to solve crimes.”

Scroll 9: Lun Yu
35. It is not right for a ruler who has deviated from the righteous way of leadership to put his officials and subjects to death. Even though the people are not being taught the way of filial piety and the proper behavior that goes along with it, they are being convicted and put into prisons. To do so amounts to killing the innocent.

Scroll 10: Kong Zi Jia Yu
36. A good government must first eradicate the Four Perils before it can carry out the Five Correct Policies. The Four Perils are:

1. Hypocrisy, for it will upset social customs.
2. Bribery, for it will wreck the legal system.
3. Unruliness, for it will overstep propriety.
4. Luxury, for it will breach rules and regulations. As long as these Four Perils persist, benevolent rule cannot be put into practice because of the following:

When social customs are upset, moral decadence will ensue and no divine beings can hope to safeguard the purity of human nature. When the legal system is wrecked, society will fall apart and no leader can hope to uphold any law at that point. When propriety is overstepped, proper rites will wither away and no saints can hope to defend the path of righteousness. Lastly, when rules and regulations are breached, a ruler’s desires will become so unfettered that even the vast territories of the four corners of the world could not hope to satisfy his insatiable appetites. Such are the Four Perils.

As for the Five Correct Policies, they are:

1. Revive farming to provide food for the people.
2. Distinguish what is right from wrong to establish good social customs.
3. Proclaim cultural and educational policies to advocate the education effort made by the government.
4. Establish military facilities to uphold the dignity of the country.
5. Unify the national legal system by being strict and impartial in meting out rewards and punishments.

Scroll 46: Shen Jian
37. No country will enjoy everlasting peace and no common people will stay forever submissive. When the wise are recruited to serve in the government, the country will enjoy peace and prosperity. To lose them could mean an end to a government. From ancient times until today this recurring theme has not changed at all.

Scroll 43: Shuo Yuan
38. The ancients said: “An extraordinary leader will use the services of extraordinary ministers. Together with these extraordinary ministers they will attain extraordinary achievements in history.”

Scroll 26: Wei Zhi, Vol. 2
39. Confucius said: “A leader must respect his ministers because they are the representatives of the people. He must choose ministers close to him carefully because they are the role models for the people.”

Scroll 7: Li Ji
40. King Wen’s benevolence had helped him to revive a government that was beneficent to the people. When he obtained the services of virtuous people he paid great respect to them and continued to treat them in accord with the proper rites and protocols. Had he not treasured the virtuous people he would not have been able to gain their confidence, and enabled them to work in peace and maximize their potential to help him secure his objectives.
Likewise, the wise king of antiquity would respect the jurisdictions of his ministers, their stipends, and also take good care of them. He would regularly visit ministers who had been stricken ill. And when a minister passed away he would personally offer condolences to the minister’s bereaved family and attend the complete funeral rites conducted in three stages. A king would not drink wine or eat meat until the body of the deceased minister had been placed in the coffin. Neither would he entertain himself with music before the burial rites were done. If a minister passed away during an ancestral offering ceremony, the king would call off the ceremonial music as a sign of mourning for the deceased minister. Thus, the kings in ancient time did everything they could to live up to the requirements of the propriety, and their ministers would repay them with undying devotion.

Scroll 17: *Han Shu*, Vol. 5
41. The Duke of Zhou taught his son, Bo Qin, this lesson: “I am the son of King Wen, the younger brother of King Wu, and uncle to King Cheng. My position is therefore not lowly. However, there were times when I had to stop several times in the course of washing my hair; or stop several times in the course of eating, so that I could greet virtuous men who came by for a visit. Still, I am afraid that I might have overlooked any virtuous man. When you arrive at the state of Lu, you must remember this—Never regard your status as a king and look down on anybody.”

Scroll 11: Shi Ji, Vol. 1
42. There are Ten Hindrances that can render difficult the task of appointing virtuous and able people to a government position:

1. The inability to recognize an able person.
2. If such a person is recognized, no appointment is offered to him.
3. If such a person is appointed, his ability is under-utilized.
4. The service of this person is terminated before his term is over.
5. A person’s virtues are overlooked and his service is disregarded due to minor resentments from the leader.
6. This person’s outstanding contributions are dismissed because of some minor offenses he has committed.
7. This person’s overall excellence is concealed because of some minor flaws in his character.
8. This person’s integrity is hurt because of disparaging attacks waged against him by malicious parties.
9. Deviant beliefs have disrupted regular laws.
10. A virtuous and able person is dismissed because of unfounded accusations made by back-stabbers who are jealous of his presence.

If these Ten Hindrances are not eradicated, the able and virtuous ministers will not be able to serve and assert any influence within the government. And when good ministers are not put to good use, a country’s ability to survive will be challenged.

Scroll 46: Shen Jian
43. King Wen posed this question to his strategist Jiang Tai Gong: “A ruler is enthusiastic in recruiting the best of minds to work in the government but little has been gained. Social disruptions are on the rise and they are threatening the security of the country. How can this happen?” Tai Gong said: “If you select the best of minds but cannot put them in positions of influence, their presence is only useful in name but not useful in practice.” King Wen asked: “So who is at fault here?” Tai Gong replied: “These problems arose because a leader favors a so-called celebrity made famous by worldly standards and not somebody with any ability to do the job properly.”

Scroll 31: *Liu Tao*
44. If a leader habitually humiliates his ministers and subjects, wise strategists will become reluctant to devise plans for him; eloquent people will become reluctant to embark on diplomatic missions for him; courageous men will become reluctant to engage in warfare for him. Without the advice from the wise strategists, the country will be trapped in danger. Without the services of eloquent diplomats, the relations with other countries will be put under strain. And without the dedication of brave men to fight gallantly, the frontiers will soon become targets of invasion.

Scroll 42: Xin Xu
納諫

Be Receptive to Counsels from Ministers

45. 國之所以治者，君明也。其所以亂者，君闇也。君之所以明者，兼聽也。其所以闇者，偏信也。是故人君通必作心兼聽，則聖日廣矣；庸說偏信，則愚日甚矣。（卷四十四 潛夫論）

45. A country is governed well because it has a wise leader. A country is in ruin because it has a foolish leader. A wise leader will listen and gauge opinions from all sides, but a foolish leader will only listen to opinions that echo his own mind. So if a leader is broad-minded and able to accept suggestions from all parties, his sagacity will increase day by day. On the contrary, if he insists on listening to the one-sided, sly, and fawning remarks, his foolishness will also increase day by day.

Scroll 44: Qian Fu Lun
46. We have heard that a good leader will not refuse to hear from the loyal and forthright ministers, and distance himself from the obsequious and the servile. However, government after government had fallen throughout history because forthright and loyal ministers were punished while obsequious ministers were rewarded and favored. Perhaps it is easier to accept flattery than to accept honest advice.

Scroll 23: *Hou Han Shu*, Vol. 3
47. A wise leader worries about being surrounded by flatterers, a situation that can isolate him from hearing the truth about his own mistakes. Hence he opens up the channels where people can speak their mind, and he listens to people who defy his wishes. As long as the proponents express their opinions out of loyalty and sincerity for the common good, he will gladly accept them even though their propositions may not be right all the time.

Scroll 49: Fu Zi
48. Emperor Shun said: “If I made a mistake you must help to correct me. Do not seem agreeable in front of me but stir up negative remarks against me behind my back.” Likewise, a good government will encourage people to submit their dissensions to the government and guide them to speak out truthfully. This way a government will be able to get to the bottom of things and draw up sensible policies.

Scroll 44: Qian Fu Lun
49. A leader who brings greatness to his nation welcomes criticism directed at him. A leader who brings chaos to his nation prefers praise that glorifies his name. For the former, good fortune will follow him because he will make fewer mistakes over time. But for the latter, misfortune will beset him as he sinks deeper into the false acclaim that is detrimental to his virtues.

Scroll 28: *Wu Zhi*, Vol. 2
50. On seeing men of integrity being dishonored and witnessing officials who dared to speak up being silenced, many ministers knew this was wrong but nobody dared to stand up and fight to remedy this situation. When everybody is wary of admonishing the government, this is indeed the greatest misfortune that besets a nation!

Scroll 19: *Han Shu*, Vol. 7
51. Confucius said: “Effective medicine is bitter but it can cure sickness. Truthful words are not enticing but they can help people to correct their mistakes. The nation enjoyed prosperity under King Tang and King Wu because they listened to admonitions extensively from all sides. On the contrary, the brutal King Jie and King Zhou preferred to listen to agreeable words that appealed to them, and this eventually led to their downfall.”

Scroll 10: Kong Zi Jia Yu
杜讒邪

*Be Averse to Slanderous and Malevolent Advice*

52. 闻言未審，而以定善惡，則是非有錯，而飾辯巧言之流起矣。（巻四十九 傳子）

52. If we make judgment lightly before all facts have been taken into consideration, what is right or wrong will no longer be clear, and the customs of making false accusations and engaging in flowery rhetoric will soon become fashionable.

*Scroll 49: Fu Zi*
53. Rare indeed is a leader who will not favor his admirers. Little does he know that his admirers are not deserving of his unquestioning trust. When a leader is charmed by these scheming ministers and refuses to dismiss them, he will overlook ministers who may oppose his wishes but nonetheless be truly helpful to his government. In the end, the right people will not be appointed to the right positions.

Scroll 47: *Liu Yi Zheng Lun*
審斷

Be Perceptive and Astute

54. 金玉滿堂，莫之能守，富貴而驕，還自遺咎。功成名遂身退，天之道也。（卷三十四 老子）

54. A house full of gold and jade cannot be safely kept forever. When wealth and honor leads to arrogance, it sows the seeds of one’s own downfall. To retire at the height of one’s own merit and fame, is in accord with the law of nature.

Scroll 34: Lao Zi
55. The ability to “listen and observe” is the door to life or death, the key to safety or danger. If a leader cannot listen and observe from diverse sources but relies only on opinions provided by his trusted sources, his plans will be deficient and incomplete. On the other hand, if he were to hear from diverse sources, and yet were to embrace this information in an unsuitable manner, or were to fail to evaluate them accurately, the resulting plans would still be disastrous.

Scroll 48: *Ti Lun*
56. Confucius said: “If everybody likes him, observe and study him carefully. If everybody dislikes him, also observe and study him carefully.” A virtuous sage will neither make decisions based on public opinions, nor insist that his personal opinion be the only right way. Instead, he will consider both sides of the argument and measure them by the standards of righteous virtue, so that good candidates will not be omitted from the government, and save the government from becoming corrupt and meeting with its demise.

Scroll 44: Qian Fu Lun
57. One of the biggest problems about a leader is that when he spends too much time on minor details and not enough time on what is really important. He can be too concerned about immediate issues but lacks foresight to plan for the future. History has shown us that such a leader will certainly bring forth destruction.

Scroll 46: Zhong Lun
58. 景公問晏子曰：「古者離散其民而隕失其國者，其常行何如？」對曰：「國貧而好大，智薄而好專；尚讒諛而貶賢人，樂簡慢而輕百姓；國無常法，民無經紀；好辨以為智，刻民以為忠；流湎而忘國，好兵而忘民；尠於罪誅，而慢於慶賞；樂人之哀，利人之害；德不足以懷人，政不足以匡民；賞不足以勸善，刑不足以防非。此亡國之行也。今民聞公令如寇讎，此古之離其民隕其國常行也。」（卷三十三 晏子）

58. Duke Qi asked Yanzi: “In the past, kings who dispersed their citizens and ruined their states—what was their leadership like?” Yanzi said: “While the country struggled in poverty, they craved for greatness and grandiosity. While their ability and intelligence were weak, they craved for absolute power and clung on stubbornly to their own views. They favored the flatterers and disdained those who were virtuous. They were arrogant and contemptuous of the
people. They did not impose consistent laws, nor did they set behavioral standards for the people. They misidentified purnacious officials as being intelligent, and assumed that these officials’ oppression of the people was a sign of their allegiance. In great lavishness the leaders forgot their duties to the nation and merrily they waged war with no concerns for the welfare of the people. They were quick at convicting and executing the condemned but slow at rewarding those with a fine record of services. They delighted in causing miseries to others and took advantage of others’ sufferings. They have so little virtue to speak of that they could not possibly appease the people, and their ironclad rules could do little to transform the people. In other words, the reward system was insufficient to compel people to do good things, while the penalty system was insufficient to deter people from breaking the law. All the above will ruin a country. Now that the people despise the laws imposed on them, this is tantamount to the consequences caused by
leaders who dispersed their citizens and lost their states in the past.”

Scroll 33: Yan Zi
臣術

Chapter Two

THE ART
OF A MINISTER
59. Looking at the individuals in history who have made great contributions to the society, it is evident that they all had accumulated outstanding and remarkable stories through their endurance against innumerable physical strains and hardships. As well, they were assiduously thoughtful, they never let their studies go to waste, and they never changed their ideals despite poverty.

Scroll 28: Wu Zhi, Vol. 2
60. Wise ministers do not fawn upon their leader to the extent that will corrupt his virtues. They also do not fawn upon the masses just to gain their favor. Neither will they benefit themselves at the expense of the public interests, nor do they hinder the enforcement of laws for the fear of powerful adversaries. They are wise enough to recognize the crafty and the evil. They conform to morality and justice, and their integrity prevents them from forming factions to advance their personal interests.

Scroll 44: Qian Fu Lun
61. Once upon a time, the great scholar and government minister, Yang Zhen, was promoted to become the prefect of Donglai prefecture. During his travel to Donglai via Changyi county, he was approached in the middle of the night by a man called Wang Mi, who had been nominated by Yang Zhen to become the magistrate of Changyi county. Wang Mi brought with him 10 kilograms of gold as a gift. Yang Zhen was appalled and upset at the sight of this gift. He said to Wang Mi: “As your old friend I understand you very well. But you don’t seem to understand this old friend of yours very well.” Wang Mi replied: “It is the
dead of night. No one will know you received the gold!”
Yang Zhen corrected his friend: “Heaven knows, the deities know, I know and you know. How can you say nobody knows?”

Scroll 23: *Hou Han Shu*, Vol. 3
62. Once Duke Jinpin asked Shuxiang: “Of all the misfortunes that can befall a nation, which one is the greatest?” Shuxiang replied: “When a high-ranking official would rather covet wealth and power than to provide sensible counsel, and a low-ranking official would rather refrain from speaking the truth than to offend his superiors, and thus the leader cannot hear the voice of the people. This is the greatest misfortune that can befall a nation.”

Scroll 22: *Hou Han Shu*, Vol. 2
Serve with Utmost Loyalty

63. A minister with great moral integrity who follows the Six Good Conducts will enjoy honor and glory. In contrast, a minister who commits any of the Six Evil Conducts will provoke humiliations.

Scroll 43: Shuo Yuan

Note: The Six Good Conducts of a minister are:

1. Has great foresight and takes preventive measures. Such a person is a “sagely minister.”
2. Modest and diligent, supports the good and eradicates the evil. Such a person is a “good minister.”
3. Hardworking and never tire of recommending good people to work for the government. Such a person is a “loyal minister.”
4. Perceptive about success or failure, turns misfortune into good fortune. Such a person is a “wise minister.”
5. Honest and scrupulous in his dealings, performs official duties with integrity. Such a person is an “honorable minister.”
6. Upright and outspoken, unafraid to point out the faults of his government. Such a person is a “forthright minister.”

The Six Evil Conducts of a minister are:

1. Being content with an official salary but having no interest in his job. Such a person is an “incompetent minister.”
2. Flatters effusively to placate his superiors. Such is a “flattering minister.”
3. Talks cleverly in an ingratiating manner, and is jealous of good and able people. Such a person is a “treacherous minister.”
4. Talks artfully and sows discord among people. Such a person is a “slandering minister.”
5. Dictatorial and arbitrary, and forms divisive factions to advance his personal interests. Such a person is a “crooked minister.”
6. Works behind the scenes and stirs up trouble and unrest. Such a person is a “vicious minister.”
64. Confucius said: “In attendance to the leader, superior persons reflect upon the ways in which they can serve with utmost loyalty in their official duties. And on retirement they reflect upon the ways in which they can remedy their leader’s mistakes. They will help to advance worthy causes put forward by the leader, and they will not hesitate to remedy his shortcomings. Hence, the relationship between the superior and the subordinate is cordial and amicable.”

Scroll 9: Xiao Jing
65. There are three kinds of approach that an official can take to fulfill his duties: Prevent, Rectify, and Reprimand. “Prevent” involves taking precautionary measures to prevent mistakes from happening. “Rectify” involves rectifying mistakes that have been done. “Reprimand” involves giving direct reprimands to the perpetrators. Among the three, “Prevent” is the best approach; followed by “Rectify”; then by “Reprimand”.

Scroll 46: Shen Jian
66. Confucius said: “For the ones who serve the lords may make the following three errors: To speak when it is not necessary is being rash; not to speak when it is necessary is being evasive; to speak without observing the lord’s facial expression is being blind.”

Scroll 9: Lun Yu
67. 景公問晏子曰：「忠臣之事君，何若？」對曰：
「有難不死，出亡不送。」公不悅曰：「君裂地而富
富作封之，疏爵而貴之，有難不死，出亡不送，其說何
也？」對曰：「言而見用，終身無難，臣何死焉；謀
而見從，終身不出，臣何送焉。若言不用，有難而
死，是妄死也；謀而不從，出亡而送，是詐偽也。忠
臣也者，能納善於君，而不與君陷於難者也。」
(卷三十三 晏子)

67. Duke Jing asked Yanzi: “How should a loyal minister
serve his lord?” Yanzi replied: “A loyal minister will not
die for his lord when his lord is in danger, and he will not
send his lord away when his lord is about to flee the state.”
Duke Jing was not very pleased with Yanzi’s reply and said:
“A lord confers land to his minister and thus enables the
minister to become rich. He confers title to a minister
and thus enables the minister to become noble. And if a
minister is not willing to die for his lord, or send his lord away when his lord is about to flee the state, how can this be justified?” Yanzi replied: “Had the lord listened to the loyal minister’s advice, the lord would never be exposed to any danger in his life. Therefore, no situation would arise where a loyal minister had to sacrifice his life for his lord. Likewise, a lord who had accepted strategies proposed by the loyal minister would never need to flee the state. So no situation could arise where the minister would have to send the lord on his way to exile. If advice was dismissed and consequently the loyal minister had to accompany his lord to face death, would this not be absurd? And if his lord did not accept good strategies proposed by the loyal minister and consequently the minister had to send the lord on his way to exile, is this not hypocritical? Thus, a loyal minister should be able to provide counsel that can be accepted by his lord and thereby can save both himself and the lord from tragedy.”

Scroll 33: Yan Zi
68. Confucius asked his student, Zigong: “...Who do you think is wiser—the one working hard for himself, or the one nominating worthy officials to the government?” To this Zigong answered: “The one nominating worthy officials to the government is wiser.” Confucius said: “You are right.”

Scroll 10: Kong Zi Jia Yu
69. 忠臣之舉賢也，不避仇讎；其廢不肖也，不阿親近。（卷四十 韓子）

69. A loyal official will nominate a competent person for an important post even if that person is his foe. He will also dismiss an unscrupulous character from a task even if that person is his close relative.

Scroll 40: Han Zi
Chapter Three

ESTEEMING VIRTUES
70. The original good self-nature of humans is called Xing. The right way of behavior following one's nature is called Dao. The teaching and nurturing of human behavior in accordance with these norms is called Jiao. Therefore, Dao is indispensable to all human beings. That which is dispensable is not Dao.

Scroll 7: Li Ji
71. Heaven in its motions preserves great harmony in union, enabling all creations to be peaceful and beneficial, and perpetually correct and firm. Heaven creates all things on the earth, and made every place under it serene and tranquil. Respected leaders in the myriad states follow the virtuous attributes of heaven so they shall run their respective states in stable peace.

Scroll 1: Zhou Yi
72. Man takes his law from the earth, which is serene and gentle, hardworking and uncomplicated, meritorious without showing off. The earth takes its law from the heaven, giving without expecting rewards, allowing all things to grow without expecting returns. Heaven takes its law from the Dao, tranquil and quiet, allowing all things to form naturally, as they should be. The law of Dao follows its nature; the Dao is being what it is.

 Scroll 34: Lao Zi
73. Sages have no selfish desires. They cultivate virtue instead of amassing wealth for themselves. With virtuous disposition, they teach the ignorant, and with the money they have, they give to the needy. They reserve nothing for themselves. It appears that the more they give to others, the more they receive in return. The law of nature is naturally beneficial and never does any harm to anything; the conduct of sages will benefit people naturally and does not struggle to control along the way.

Scroll 34: Lao Zi
74. Confucius said: “The eagerness to learn from the sages is an indication of ‘wisdom.’ Putting lessons into practice is an indication of ‘benevolence.’ Discovering one’s mistakes and generating the determination to correct them is an indication of ‘courage.’ A man who understands what constitutes wisdom, benevolence and courage will correct his erroneous views, speech and actions. Consequently, he will know how to lead the people, and when he does, he will be able to govern the country properly.”

Scroll 10: Kong Zi Jia Yu
75. When a ruler declares and carries out laws in order to pursue welfare for the people, this is called “righteousness.” When a ruler and his people respect and love each other, this is called “harmony.” When a ruler can meet the people’s needs before the people have to plead for their attention, this is called “trustworthiness.” When a ruler can eliminate troubles for his people, this is called “benevolence.” Benevolence and trustworthiness, harmony and righteousness are the valuable implements of a ruler.

Scroll 31: Yu Zi
76. King Wen asked Tai Gong: “What should one do so that he can govern all under heaven (tian-xia)?” Tai Gong said: “When your magnanimity encompasses tian-xia, you will be able to accommodate it. When your trustworthiness encompasses tian-xia, you will be able to make covenants with it. When your benevolence encompasses tian-xia, you will be able to embrace it. When your

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6The notion of “tian-xia” is a wide-reaching term that embraces ideas of populace, nations, communities, countries or the world. Hence the original Chinese term has not been translated to preserve the implications of these ideas in this context.
generosity and kindness encompasses *tian-xia*, you will be able to command it. When your authority encompasses *tian-xia*, you will not lose it to others. Take decisive actions and your subjects will be able to depend upon your decisiveness. If a ruler has all the six elements, he can then govern all under heaven.”

Scroll 31: *Liu Tao*
77. Therefore, a superior person will not worry about becoming old and frail. Instead, he worries about his mind becoming weary and dull. He will neither let virtuous causes lie dormant nor let righteous causes lie still. For a man of words and not of actions will let virtuous causes lie dormant; a man of actions who cannot persevere to the end will let righteous causes lie still. Thus, a superior person must persevere in putting his words into action.

Scroll 46: Zhong Lun
78. The book of Xiang Zhuan said: “The oracle Qian symbolizes the strength and eternity of heaven in its motion. A superior person should learn from the attributes of heaven, to be self-reliant and vow to work hard, never giving up.”

Scroll 1: Zhou Yi
79. The book of Xiang Zhuan said: “The oracle Kun symbolizes the gentle terrain of the earth. The superior person should learn from the earth, carry and support all things in the world with his great virtue.”

Scroll 1: Zhou Yi
80. One who does not cultivate good deeds is a malicious man. One who does not assist his elders is a *petty person.* King Zhou was known as a despot because he was cruel and oppressive. But Confucius, a man who served only as a counsel to many feudal lords, was reckoned as a “commoner king”—a man with kingly virtues but not with kingly rank. This demonstrates clearly that noble status and wealth is not the measure of a *superior person.*

*Scroll 50: Bao Pu Zi*

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7 *Xiaoren* 小人, “small or petty person” does not grasp the value of virtues and seeks only immediate gains.
81. If one were able to perfect his filial piety and attention to fraternal duties, he would move the gods and divinities in heaven and earth, and will reach to the spiritual intelligence. The light of his great virtue would illuminate the whole world and all would be touched by the virtues of filial piety.

Scroll 9: Xiao Jing
82. Long and large grows the *e*; it is not the *e* but the *hao*. I am saddened by the loss of my parents, who gave me birth and brought me up at great personal cost. Fatherless, who is there to rely on? Motherless, who is there to depend on? When I go abroad, I carry my grief with me. When I came home, I have no one to go to. Oh my father, who begat me!

8 “*e*” and “*hao*” are two species of artemisia belonging to the daisy family of Asteraceae. According to the interpretation offered by the editor of the compilation, Wei Zheng, the son felt so sad over the death of his parents that he could not distinguish the difference between the two artemisia species. Another popular interpretation for this metaphor is that since “*e*” is aromatic and edible, it represents a promising future. “*Hao*”, which is bitter and unpalatable, represents a future that is bleak. The contrast meant to bring out the fact that the parents had hoped to have a son who has prospects of success in life, however, the son has failed to do so before his parents passed away, and this is a cause of great regrets for the son.
Oh my mother, who nurtured me! You raised me, you loved me; you brought me up, and you taught me. You cared for me and you worried for me; everywhere you went you held me in your arms. If I would return your kindness, it is like great heaven, illimitable.

Scroll 3: *Mao Shi*
83. There are three levels of filial piety in action: A minor filial piety that takes the form of physical labor; an intermediate filial piety that applies meritorious deeds; a greater filial piety that holds back nothing. When I remember the love my parents gave me and the sacrifices they had to make in bringing me up, I am able to forget my own physical fatigue when I make ends meet to serve them. Such is the minor filial piety that takes the form of physical labor. When I revere benevolent virtues and enable society to abide contentedly in righteousness, such is the intermediate filial piety that
applies meritorious deeds. Propagating moral and ethical teachings across the lands, dispensing benefits, and providing for all things necessary to enable every person to support their parents and prepare adequate offerings to their ancestors, such is the greater filial piety that holds back nothing. If my parents love me I will rejoice and never forget their kindness. If they dislike me I will be even more vigilant against any wrong doings that I may commit and bear no resentment against my parents. If they commit a mistake I will persuade them to change but I will not rebel against them. When my parents pass away, I will pay my respects to them with food I obtained with legitimate means. Such is the complete fulfillment of propriety.

Scroll 7: Li Ji
84. Our bodies, to every hair and every bit of skin, are given to us by our parents, and we must not presume to injure or wound them. This is the beginning of filial piety. When we have established a virtuous character by the practice of the filial course, so as to make our name revered in future ages and thereby glorify our parents, this is the ultimate goal of filial piety. It commences with the service of parents; it proceeds to the service of the ruler; it ends with the establishment of a virtuous character.

Scroll 9: Xiao Jing
85. Above others, and yet free from conceit, even when they live in high-status position, they will not invite perils to themselves. They live with economy and carefully observe the rules and laws, thus even when they are wealthy, they will not spend wastefully. To live in a high-status position without peril is the way to preserve lasting nobility. To possess wealth without wastefulness is the way to preserve lasting riches. When their riches and nobility do not leave their persons, they are able to preserve their land and bring harmony to their people. This is the filial piety of the lords. It is said in the book of Shi-Jing: Xiao
Ya (Book of Odes): “Be apprehensive, be cautious, as if on the brink of a deep abyss, as if treading on thin ice.”

Scroll 9: Xiao Jing
86. 非先王之法服不敢服，非先王之法言不敢道，非先王之德行不敢行。是故非法不言，非道不行。口無擇言，身無擇行，言滿天下無口過，行滿天下無怨惡。三者備矣，然後能守其宗廟。蓋卿大夫之孝也。詩云：「夙夜匪懈，以事一人。」（卷九 孝經）

86. Senior ministers do not presume to wear robes other than those appointed by the laws of the ancient kings, or to speak words other than those sanctioned by the ancient kings, or to exhibit conduct other than that exemplified by the ancient kings. Thus none of their words are contrary to those sanctions and none of their actions are contrary to the virtuous way. From their mouths there comes no exceptionable speech, and in their conduct there are no exceptionable actions. Their words may spread all over the world and no error of speech will be found in them. Their actions may spread all over the world, and no grievances
or dislikes will be awakened by them. When these three things—their robes, their words, and their conduct—are all complete as they should be, they can then preserve their ancestral shrines. This is the filial piety of the high ministers and great officers. It is said in the book of Shi Jing: “He is never idle, day or night, in the service of the Son of Heaven.”

Scroll 9: Xiao Jing
87. Servicing a leader with the heart of a filial child will enable one to perform his duties to the leader loyally. Servicing a superior with the heart of a respectful sibling will enable one to comply with duties given by that superior. When subordinates can engage loyalty and comply flawlessly in the service of the leader and superior, they will be able to maintain their earnings and positions as well as preserve the ritual services of their ancestors. This is the filial piety of a lower ranking officer.

Scroll 9: Xiao Jing
88. By following and making the most out of the changing seasons, common people distinguish the advantages afforded by different soils in order to reap the biggest harvest. They are careful in their conduct and frugal with their expenses so that they may support their parents. This is the filial piety that common people should fulfill. Thus from the Son of Heaven down to the common people, starting with serving parents reverently to the end when a name is established in honor of the family, the filial duties are not difficult to fulfill. The way of filial piety sees no divisions of superiority or inferiority; it transcends time and space; it is eternal, without beginning or an end. No one should worry that they would not be able to fulfill their filial duties.

Scroll 9: *Xiao Jing*
89. Confucius said: “When filial children serve their parents at home they will do so with utmost respects. When they tend to the needs of their parents they will do so with utmost gladness. When they take care of their parents who are ill they will do so with utmost concern. When their parents pass away they will take care of the funeral services with the greatest grief, and in offering memorials to their parents they will show utmost solemnity. It can be said that children have truly fulfilled their duties to their parents when these five things are complete.”

Scroll 9: Xiao Jing
90. Zengzi said: “Our bodies came from our parents. How can any person not be prudent in using this body to conduct his affairs? Leading a frivolous lifestyle is unfilial. Serving one’s superior disloyally is unfilial. Working as government officials but not being serious and responsible is unfilial. Not being trustworthy toward friends is unfilial, and being cowardly when at war is unfilial. Failure to fulfill these five filial duties will be detrimental to our parents’ reputation. How can we not be prudent in using this body to conduct our affairs?”

Scroll 7: *Li Ji*
91. I dare not forget my parents in every step I take, and I dare not forget my parents in every word I say. As I remember my parents in every step I take, I will choose the right course of actions in preference to a devious short cut. If there is a boat I can ride I will not choose to wade across the river—all for the fear of putting this body given to me by my parents at risk. As I remember my parents in every word I say, I will not use abusive language and cause revile words to be thrown back at me. Not subjecting myself to indignities and causing my parents shame, this can be considered as filial piety.

Scroll 7: *Li Ji*
92. He who does not love his parents but loves others rejects virtue. He who does not revere his parents but reveres others rejects propriety.

Scroll 9: Xiao Jing
93. Zengzi said: “An officer cannot be without breadth of mind and vigorous endurance. His responsibilities are heavy and his journey ahead is far and long indeed. When committed to practicing the virtue of benevolence as a personal goal, is this not a heavy responsibility? Only with death will this commitment end. Is this not a journey that is far and long indeed?”

Scroll 9: *Lun Yu*
94. If there is one word that you should hold permanently to your heart, it is this word, Shu—“What you do not want done to yourself, do not do to others.” If there is one standard of conduct that you should always exemplify, it is this, Zheng—“Integrity”. Shu is the practice that leads to benevolence, and Zheng is the key to righteousness.

Scroll 46: Shen Jian
95. Yan Yuan asked Confucius about the definition of “benevolence.” Confucius answered: “To be able to control your desires and to let your words and deeds to be steadfast in propriety is benevolence. Once you can do this you will be able to affect the world to become benevolent also. Take the initiatives upon yourself and do not expect initiation from someone else.” Yan Yuan asked again: “How can one carry on such a practice?” Confucius replied: “Do not look at things that do not accord with propriety. Do not listen to things that do not accord with propriety. Do not say things that do not accord with propriety, and do not do anything that does not accord with propriety.”

Scroll 9: *Lun Yu*
96. Zhong-gong asked about benevolence. Confucius said: “When you face the public, act as if you were greeting prestigious guests. While employing the services of the common people, act as if you were officiating a major ceremony. What you do not want done to yourself, do not do to others. In this way, you will not incur any bitter feelings from anybody.”

Scroll 9: *Lun Yu*
97. A man should not forget the friends he made when he was poor. A wife who has shared her husband's hardships must never be cast aside.

Scroll 22: *Hou Han Shu*, Vol. 2
Be Sincere and Trustworthy

98. Heaven and earth are bound within the natural order, but when actualities are absent they cannot transform and nourish all things. A leader and his subordinates are bound by a mutual commitment, but when sincerity is absent, they cannot work together in mutual admiration. Parents and children are bound by propriety, but when sincerity is absent, they become estranged from each other. Husband and wife are bonded in a relation of gratefulness, but when sincerity is absent, they will end up leaving each other. Friendship is founded on ties of affection, but when sincerity is absent, all ties will be severed. Morality and ethics can help us to manage our tasks appropriately, but it
is through sincerity that we can earnestly understand the needs of others as well as the truth of things.

Scroll 48: *Ti Lun*
99. In the cultivation of a good character, nothing can surpass the value of sincerity. Utmost sincerity can only be achieved through upholding benevolence and implementing righteousness. By being most sincere in upholding benevolence we can right ourselves and influence people to do the same. By being most sincere in implementing righteous deeds we can transform social customs from bad to good. Using benevolent and righteous values to educate people, the habits of self-correction and doing good deeds will eventually become an acceptable social norm. In this respect, the society will progress in line with the moral principles of heaven.

Scroll 38: Sun Qing Zi
100. Only a *superior person* can be trusted to act in good faith. As soon as he loses his trustworthiness, everything he did in his life will be invalidated. Hence, a *superior person* takes his reputation for being honest very seriously.

Scroll 50: *Yuan Zi Zheng Shu*
101. Confucius said: “If you want others to have confidence in you, you should speak little and carry out your words. Your actions will speak for themselves. After a period of time, the results become so evident that no one else could distort the truth from the eyes and ears of the public.”

Scroll 46: Zhong Lun
102. 子夏曰：「君子信而後勞其民；未信則以為厲己也。信而後諫；未信則以為讙己也。」
（卷九　論語）

102. Zixia said: “When a superior person assumes the position of an official he must first earn the trust of the people before he gives orders to them. Otherwise, people will regard him as oppressive. When a superior person assumes the position of a subordinate he must first earn the trust of his superior before he offers any advice to the latter. Otherwise, the superior will take it as an insult.”

Scroll 9: Lun Yu
103. If a leader instructs his minister in good faith, the ministers will also serve him in good faith. If a father teaches his children in good faith, his children will also show filial obedience in good faith. If a husband honors his wife in good faith, the wife will also submit to him in good faith. The leaders who adhere to virtuous principles will gain the faithful submission of their subjects in return. With this, all can be educated and transformed without exception.

Scroll 49: *Fu Zi*
104. A ruler who pretends to be benevolent is a hypocrite, and he who expects the ministers to serve him earnestly while he treats them insincerely is ignorant. A hypocritical and ignorant leader will not attract ministers who will serve him with undying loyalty. Hence it was said in the book of *Shang Shu*: “A leader is analogous to the head of a man, and his ministers, the arms and the legs.” It is hoped that the leader and his ministers can work amicably as one body so that they will be able to govern the country properly.

Scroll 48: *Ti Lun*
105. Simple honesty is better than subtle hypocrisy.

Scroll 40: *Han Zi*
106. Practice virtue and you will calm the mind and become more restful day after day. Practice hypocrisy and you will wear out your mind only to worsen the situation day after day.

Scroll 2: *Shang Shu*
107. Zengzi said: “May I ask what the Seven Lessons are about?” Confucius said: “If a leader respects old people, his subjects will show more filial obedience toward their parents. If a leader respects the elderly, his subjects will show more respect toward their elder siblings. If a leader is kind and giving, his subjects will become more tolerant and generous. If a leader favors the virtuous, his subjects will attach more importance to choosing their friends wisely. If a leader places great importance on ethical conducts,
his subjects will not commit acts that cannot be revealed to the public. If a leader is not greedy, his subjects will be ashamed of fighting with each other for profits. If a leader is honorable and humble, his subjects will uphold integrity firmly. These are the Seven Lessons, the foundation of governing. Once the principles of governing are established, the government will become stable and strong. Since people look up to all the senior ministers and bureaucrats as examples to follow, and as long as they are upright, what else cannot be upright?”

Scroll 10: Kong Zi Jia Yu
108. Confucius said: “When a leader’s personal conduct is upright, his government is effective without the issuing of orders. If his personal conduct is not upright, he may issue orders but they will not be followed.”

Scroll 9: *Lun Yu*
109. A *superior person* must first and foremost be an exemplar of integrity in his official duties. Giving instructions and punishment are secondary measures.

*Scroll 47: Zheng Yao Lun*
110. Confucius said: “In serving a superior, the subordinates will not only follow by obeying the commands of his superior but also follow by observing the superior's conduct. Whatever preferences shown by a superior will be exceeded by the preferences of his subordinates. Therefore, a leader must be careful with what he likes or dislikes for he is the role model for the people.”

 Scroll 7: Li Ji
111. If a superior is impartial, his subjects will not dare to profiteer. If he is honest and fair, his subjects will not dare to engage in dishonest enterprises, thinking they can get away without punishment. If he is frugal, his subjects will not dare to spend lavishly. These are the effects of a leadership that practices what he preaches.

Scroll 45: Chang Yan
112. Tai Gong said: “There are three protocols for generals and commanders of an army: Do not wear fur coats in the winter; do not use fans in the summer; do not open umbrellas on rainy days.”

Scroll 31: Liu Tao

“The purpose of this protocol is to remind the generals and commanders to place themselves in the same situations as their soldiers, be it hot or cold, damp or dry. A commander who can empathize with the circumstances in which he places his soldiers will not only gain the loyalty of his soldiers, but also come up with good strategies that will find victory with the least sacrifice of human lives.”
113. Confucius said: “A superior person shows his consideration for others in three ways: Not serving his leader wholeheartedly while ordering his own subordinates around is inconsiderate. Not fulfilling his filial duties to his parents but demanding that his own children to be filial to him is inconsiderate. Not respecting his elder siblings but demanding his own younger siblings to respect him is inconsiderate. If he can understand that consideration for others stems from loyalty to his own leader, filial obedience to his own parents, and reverence to his elder siblings, he is truly a person who has an upright character.”

Scroll 10: Kong Zi Jia Yu
114. A virtuous leader will lead the way to do good deeds before he asks others to do the same. He will expect himself to be devoid of wrongdoing before he can stop others from wrongdoing.

Scroll 7: *Li Ji*
115. 君子能為可貴，不能使人必貴己；能為可信，不能使人必信己；能為可用，不能使人必用己。故君子恥不修，不恥見汚；恥不信，不恥不見信；恥不能，不恥不見用。是以不誘於譽，不恐於誹，率道而行，端然正己，不為物傾側，夫是之謂誠君子。
（卷三十八 孫卿子）

115. A superior person can work on earning respect, but he cannot expect others to respect him. He can work on being trustworthy but he cannot expect others to trust him. He can be worthy of appointment to a post but he cannot expect the post to be given to him. Therefore, a superior person is ashamed of not being a man of character but he is not ashamed of being insulted. He is ashamed of breaking his promise but he is not ashamed of being distrusted. He is ashamed of being unskillful but he is not ashamed of missing the chance to get appointed. In short, he is not tempted by superficial reputation, or intimidated
by slanders. He is honorable and upright, unwavering in his convictions.

Scroll 38: *Sun Qing Zi*
116. The responsibility for honor and disgrace rests with nobody but oneself.

Scroll 40: *Han Zi*
117. The book of Xiang Zhuan interprets the oracle of Jia Ren (family members) as: “...A superior person speaks meaningful words and his conduct is uniformly consistent.”

Scroll 1: Zhou Yi
118. When a leader dresses in a manner that befits his status, no eccentric attire will be worn by his officials. When a leader’s words are just, no fabricated reports will be produced by his subordinates. When a leader is honorable and fair, no servile official or factionalism will arise in the civil service. These three points should serve as a guideline for the leader.

Scroll 33: Yan Zi
119. No matter how slight a sound may be, it will still be heard. No matter how carefully a good deed is concealed, it will still be known. When jade is embedded deep in the mountain, the mountain grass and wood will be moistened. When a deep pool produces pearls, the edge around the deep pool will not dry up. If one persists in doing good, how can people not know his good deeds?

Scroll 38: Sun Qing Zi
度量

Magnanimity

120. 帝曰：「夫建大事者，不忌小怨。」
（卷二十一 後漢書一）

120. Emperor Guang Wu of the Han dynasty said: “He who dreams of great success will not hold a grudge over minor hostilities.”

Scroll 21: *Hou Han Shu*, Vol. 1
121. Laozi said: “Respond to anger with virtue. Recompense injury with kindness.”

Scroll 40: Jia Zi
122. According to the book of *Tuan Zhuan*, the oracle of Humility (*Qian*) —“gets through”—indicates “progress and success.” In the way of heaven, to send down its beneficial influences below, where they are brilliantly displayed. In the way of earth, lying low, to send its influences upwards and (there) to act. It is the way of heaven to diminish the full and augment the humble. It is the way of earth to overthrow the full and replenish the humble. Spiritual Beings inflict calamity on the full and bless the humble. It is the way of men to dislike the full and love the humble. Humility in a position of honor makes that still more brilliant;
and in a low position its virtuous accomplishment is unsurpassable. This is the result attained by a superior person through the cultivation of moral character.

Scroll 1: Zhou Yi
123. Only virtuous conducts can touch the heart of heaven and earth. And people, irrespective of where they live, will come and pledge their allegiance to the virtuous. Complacency brings harm; humility brings benefits. This is the law of nature.

Scroll 2: *Shang Shu*
124. The book of Xiang Zhuan states that: “A diligent and humble superior person draws admiration and respect from tens of thousands of people to come and pledge their allegiance.”

Scroll 1: Zhou Yi
125. When the virtues of a leader are renewed daily, the myriad states harbor affection for him. When he is self-satisfied and conceited, even his relatives will abandon him.

Scroll 2: *Shang Shu*
126. The book of *Yi Jing* says: “There is a Way which, if adhered to, at best can keep the world at peace; to a lesser degree can safeguard the country; at the least can keep oneself from harm. The Way is—Humility.”

*Scroll 43: Shuo Yuan*
127. 若升高，必自下；若陟遐，必自邁。
（卷二 尚書）

127. To climb up a mountain, one must start from the bottom. To journey afar, one must start from the closest step.

Scroll 2: Shang Shu
128. The reason why the rivers and seas are able to receive the homage and tribute of all the valley streams is that they are lower than all the others. It is thus that they are the kings of them all.

Scroll 34: Lao Zi
129. 君子常虛其心志，恭其容貌，不以逸群之才加乎眾人之上，視彼猶賢，自視猶不肖也。故人願告之而不厭，誨之而不倦。（卷四十六 中論）

129. A superior person is always humble and respectful, and his behavior is in accordance with propriety. Moreover, he never puts himself above others despite his outstanding abilities. He regards others as able and virtuous and sees himself as a less than capable person. Hence, other people are never tired of giving him advice or teaching him.

Scroll 46: Zhong Lun
130. If you were not conceited, no one in the world would strive to surpass your position. If you were not boastful, no one in the world would strive to surpass your contributions.

Scroll 2: Shang Shu
131. Not many people can stop themselves from bragging about the good deeds that they have performed, and very few talented people can stop themselves from becoming egotistical. A boastful person will neglect the strengths of others, and an egotistical person will certainly dominate others. However, he who neglects others will also be neglected by others, and he who dominates others will also be dominated by others.

Scroll 26: *Wei Zhi*, Vol. 2
132. Confucius said: “If a person had the talent and administrative ability as splendid as the Duke of Zhou, but then grew to become conceited and miserly, the rest of his good qualities would not be worth a glance.”

Scroll 9: *Lun Yu*
133. The Book of Yi Jing divinized: “In the oracle Qian, the dragon seated at the highest position will have cause to repent.” Confucius interpreted this as being said: “When a man seeks to climb so high that he loses his place in the world, he becomes isolated. Nobody from below can reach him, so in every move he makes, he is bound to make mistakes, as no one can help him.” ...This foretells a person whose only ambition is to move ahead, and for that he discharges any possibility that calls for a retreat. He knows about existence but he ignores death; he wants success but cannot accept failure. Only a wise man will understand the
law of advance, retreat, existence and death; yet remain on the right path. Only a wise man can do this.

Scroll 1: Zhou Yi
謹慎

*Be Discreet*

134. 惟聖罔念作狂，惟狂克念作聖。（卷二 尚書）

134. When a sage lost sight of the truth, he became an unruly person. When an unruly person overcame his delusions, he became a sage.

*Scroll 2: Shang Shu*
135. It was said in the book of *Guo Yu*: “Becoming virtuous is like a struggling uphill but committing vice is like collapsing in a landslide.” [In other words, it is difficult to follow the path of virtue but easy to succumb to vice.]

Scroll 27: *Wu Zhi*, Vol. 1
136. The book of Qu Li said: “Always, and in everything, let there be reverence. Be dignified as if one is thinking deeply, and speak with composure and clarity. This way will put people at ease.”

Scroll 7: Li Ji
137. The higher one goes the more humble he should become. The more important one’s official role becomes the more cautious he should be. And the higher a salary he draws the more he should not be wanting for more.

Scroll 43: Shuo Yuan
138. It is easy for a person born to a privileged family to acquire an air of arrogance, and a person born to a rich family to acquire a taste for extravagance. If the privileged and the rich do not follow the right way to guard against arrogance and wastefulness, seldom will they not commit wrongdoings.

Scroll 35: Wen Zi
139. In the oracle of Qian: “A superior person works hard and remains vigilant all day, and in the evening he is still careful and apprehensive as if he is in danger. Hence there is no bad fortune.” What does this mean? Confucius said: “This is a metaphor about how a person advances in virtue and cultivates all the sphere of his duties. His devotion and trustworthiness are the means by which he advances in virtue. His attention to his words and establishing his sincerity are the means by which he excels in his duties. Therefore he occupies a high position without pride, and a low position without anxiety. Thus it is that, being diligent
and vigilant, and forever careful and apprehensive, he will be safe from danger even when his position is perilous.”

Scroll 1: Zhou Yi
140. We cannot for one moment leave the *Dao* (righteous path). What can be left out is not *Dao*. A *superior person* will remain discreet even at places where nobody is around, and he will remain apprehensive at places where nobody can be heard. Beware that the most secretive place is also the easiest place to be found, and the tiniest thing is also the easiest thing to be seen, whenever one’s thoughts are most unguarded. A *superior person* therefore is most watchful when he is alone by himself.

*Scroll 7: Li Ji*
141. Thus, the conduct of a *superior person* bears four features: He follows through his plans and never forgets to be kind. He deploys his expertise and never forgets to be righteous. He works hard and never forgets to be faithful. When he speaks he never forgets to be truthful. If he can keep these features all his life, honor will follow him like a shadow to his form and an echo to his callings.

*Scroll 36: Shi Zi*
142. A *superior person* does not make jokes and his words are in line with propriety. He does not play pranks and his behavior is prudent. If his speech and his behavior are prudent, his wife will not act contemptuously toward him despite their intimate relation, and his friends will not slight him despite their close affiliation. Thus, a *superior person’s* behavior is sufficient to influence the behavior of his family members without any need for harsh reprimands, and moral social customs will be spread across the land without any need for remonstrations. Thus, in *Mencius* it was said: “When a sage is upright, all the things around him will follow suit.”

Scroll 46: Zhong Lun
143. 戒之哉！無多言，多言多敗；無多事，多事多患。安樂必誠，無行所悔。（卷十 孔子家語）

143. Be warned! Do not talk too much. Much talk incurs much trouble. Do not be too inquisitive. Much prying will incur much harm. Stay alert even at a comfortable place and refrain from doing anything that will cause regrets.

Scroll 10: Kong Zi Jia Yu
144. Confucius said: “Chaos is created by indiscreet utterances. When a leader speaks indiscriminately he loses his ministers. If ministers speak indiscriminately they will bring troubles upon themselves. If classified information cannot be kept safe, catastrophes will happen. A superior person therefore is most discreet in keeping confidential matters unrevealed.”

Scroll 1: Zhou Yi
145. Laozi said: “One who makes promises lightly seldom keeps good faith. One who makes light of many things will encounter many difficulties. Therefore the sage sees difficulty even in what seems easy, and so never has any difficulties.”

Scroll 34: Lao Zi

10 A sage will always prepare for the worst and therefore no difficulties can get the better of him.
146. Confucius said: “There are three kinds of enjoyment which are beneficial and three kinds of enjoyment which are harmful. The enjoyment of cultivation in music and ritual, the enjoyment of speaking of the goodness of others, and the enjoyment of having many friends of good character are all beneficial. The enjoyment of taking pleasure in arrogance, the enjoyment of aimless idling, and the enjoyment of drinking and feasting are all harmful.”

Scroll 9: *Lun Yu*
147. A superior person remains steadfast in the virtue of humility to avoid calamity. He should not take pride in chasing after fame and fortune.

Scroll 1: Zhou Yi
148. The friendship offered by a **superior person** is plain like water; the friendship offered by a **petty person** is pleasing like sweet wine. Being friends with a **superior person** may not be exciting but the interactions are nevertheless beneficial to both sides. Being friends with a **petty person** may be exciting but may hurt in the end when no more selfish gains are to be made from it.

*Scroll 7: Li Ji*
149. Walking in the company of good people is like walking into a room full of fragrant lilies. After a while we cannot smell the fragrance as if we have become part of the fragrance. Walking in the company of unsavory people is like walking into a shop that sells salted fish. After a while we cannot smell the salted fish as if we have become part of the smell. Therefore, a superior person must choose carefully whom he befriends.

Scroll 10: Kong Zi Jia Yu
150. Confucius said: “There are three kinds of friendship which are beneficial and three kinds of friendship which are harmful. Being friends with people who are fair-minded, sincere, and knowledgeable, are beneficial. Being friends with people who are deceptive, unprincipled and smooth talking, are harmful.”

Scroll 9: Lun Yu
151. Never tire of learning for it will subdue our bad habits; never tire of teaching for it will educate and transform people.

Scroll 36: Shi Zi
152. A superior person will study hard so that he can accumulate knowledge and improve his moral standing. He will ask questions to find out about the truth. He is considerate in his dealings with people, and he uses benevolence and compassion to guide his actions.

Scroll 1: *Zhou Yi*
153. If a craftsman wishes to perfect his skills he must first sharpen his tools. If a scholar wishes to promote the teachings of righteous virtues he must first study the classics written by the sages. The book of Yi Jing said: “A superior person must remember and learn from the many paths taken by the forefathers so that he can enrich his own moral cultivation.”

Scroll 44: Qian Fu Lun
154. Zengzi said: “A superior person will exhaust all efforts to correct his own faults. He will examine his own shortcomings, break through his limitations, get rid of his selfish desires, and do what is right in accordance to the righteous principles. This can be said to be true scholarship.”

Scroll 35: Zeng Zi
155. Confucius said: “I have tried to spend a whole day without eating and a whole night without sleeping in order to meditate but I got nothing out of it. I might just as well study the classics written by the sages.”

Scroll 9: Lun Yu
156. I reflect upon the good deeds done by others and I will learn from them. I will also reflect upon the bad deeds done by others and warn myself against them. People who give me direct and accurate criticisms are my teachers. People who give me their support in a reasonable manner are my friends. People who fawn over me are the ones who will hurt me.

Scroll 38: Sun Qing Zi
157. A tree you can barely get your arms around grows from a tiny shoot. A nine-story tower begins as a heap of earth. A journey of a thousand miles begins with a single step.

Scroll 34: Lao Zi
158. Without taking continuous steps, a journey of a thousand miles cannot be done. Without gathering water from small streams, no rivers or oceans can be formed.

Scroll 38: Sun Qing Zi
159. In the oracle of *Heng*, this is revealed: He who does not continuously maintain his virtues may face disgrace. He who does not continuously maintain his virtues will not be allowed by society to establish himself.

*Scroll 1: Zhou Yi*
為政

Chapter Four
ON THE SUBJECT OF ADMINISTRATION
務本

Engaging the Principles

160. 孔子曰：「凡為天下國家者，有九經焉，曰：修身也，尊賢也，親親也，敬大臣也，體群臣也，子庶人也，來百工也，柔遠人也，懷諸侯也。修身則道立，尊賢則不惑，親親則諸父昆弟不怨，敬大臣則不眩，體群臣則士之報禮重，子庶民則百姓勸，來百工則財用足，柔遠人則四方歸之，懷諸侯則天下畏之。」公曰：「為之奈何？」孔子曰：「齊莊盛服，非禮不動，所以修身也；去讒遠色，賤貨而貴德，所以尊賢也；爵其能，重其祿，同其好惡，所以篤親親也；官盛任使，所以敬大臣也；忠信重祿，所以勸士也；時使薄斂，所以子百姓也；日省月考，既稟稱事，所以來百工也；送往迎來，嘉善而矜不能，所以綏遠人也；繼絕世，舉廢邦，朝聘以時，厚往而薄來，所以懷諸侯也。治天下國家有九經焉，其所以行之者一也。」(卷十 孔子家語)
160. Confucius said: “In order for any ruler who wants to govern a country successfully, he must attend to the nine cardinal rules. These are:

1. Cultivating a ruler’s personal conduct.
2. Honoring worthy individuals.
3. Cherishing his kindred duties.
4. Respecting high ministers of the state.
5. Showing empathy to the whole body of public officials.
6. Loving the people as if they were his children.
7. Soliciting the services of different craftsmen and professionals.
8. Showing kindness to people from far countries.
9. Taking interest in the welfare of the other feudal lords.

When the ruler pays attention to the cultivation of his personal conduct, he will be able to build his virtuous character unaffected by fame and wealth. When the ruler
honors worthy individuals, he will not be deceived by devious officials. When the ruler cherishes affection for his kindred, there will be no disaffection among the members of his family. When the ruler shows respect to the high ministers of the state, he will not be prone to making mistakes. When the ruler shows empathy to the whole body of public officials, there will be a strong spirit of loyalty among the officials. When the ruler loves the people as if they were his children, the mass of the people will exert themselves for the good of the state. When the ruler is able to entice different craftsmen and professionals to live in the state, their presence will increase wealth and revenue for the state. When the ruler shows kindness to the people from far countries, they will be brought to pledge their allegiance to him from all quarters. When the ruler takes interest in the condition and welfare of the lords of the land, he will inspire awe and respect for his authority throughout the whole world.”
Duke Ai asked Confucius: “So how can this be achieved?” Confucius said: “By attending to sincerity and to the propriety and dignity of his attire, and in every word and act permitting nothing which is contrary to good taste and decency: this is how the ruler cultivates his personal conduct. By banishing all flatterers and keeping away from the temptations of women, loathing possession of material goods but valuing moral qualities in people: this is how the ruler gives honor to worthy individuals. By raising family members to high places of honor and bestowing ample emoluments that corresponds to their abilities, sympathizing with their tastes and opinions: this is how the ruler inspires love among members of his family. By conferring high government positions and giving them important duties: this is how the ruler shows his respect to the high ministers of the state. By bestowing a liberal scale of pay to the faithful and trustworthy: this is how the ruler gives encouragement to capable officials. By employing
them only at the proper times, and making all taxes as little as possible: this is how the ruler shows his love for his people. By ordering daily inspection and monthly examination, rewarding each according to the degree of his workmanship: this is how the ruler is able to acquire the services of the artisan class. By welcoming people from all over the world, commending what is good in them and making allowance for the weak: this is how the ruler shows kindness to strangers from far countries. By restoring broken lines of succession and reviving subjugated states, putting down anarchy and disorder wherever they are found, and giving support to the weak against the strong. Fixing specific time periods for the attendance of diplomatic envoys at court, lading them with abundant presents when they leave while exacting little from them in the way of contribution when they come: this is how the ruler takes interest in the welfare of the lords of the land. For everyone who is called to the government of nations, these are the nine cardinal bearings to be attended to, and the key by which they can be carried out, is through ‘Sincerity’.

Scroll 10: Kong Zi Jia Yu
161. People constitute the foundation of a nation. When the foundation is stable, the nation will become peaceful and harmonious.

Scroll 2: *Shang Shu*
162. The governing principles of King Wen and King Wu are recorded in the classics. Rulers such as them enable a benevolvent government to be formed. Without rulers like them, benevolent governments will cease to exist. Hence, the key to forming a good government is in having good people to run the government, and good people will be drawn to leaders who are able and virtuous, whose character is in line with the principles of morality and ethics grounded in benevolence.

Scroll 10: Kong Zi Jia Yu
163. When King Cheng of Zhou dynasty was an infant, Zhao Gong was the crown prince’s Tai-bao, whose duty was to safeguard the physical wellness of the crown prince. Zhou Gong, was his Tai-fu, whose duty was to guide the crown prince with moral and ethical codes of conduct. And Tai Gong, who was his Tai-shi, was responsible for inspiring the crown prince with the wisdom of the sages.\footnote{Tai-Most senior. Bao-Guardian. Fu-Instructor. Shi-Teacher.}
In addition to the Three Venerated Elders (San-gong) and their duties, another Three Supporting Elders (San-shao), namely—Shao-bao, Shao-fu and Shao-shi were established to accompany the crown prince in his learning on a daily basis. Thus, ever since the young prince could comprehend teachings, the three venerated elders and the three supporting elders had imparted lessons on the moral principles of filial piety, benevolence, propriety and righteousness to the crown prince, guiding him to implement these principles. Moreover, all deviant characters were banished from the vicinity of the prince so that he would not be corrupted by deviant behavior. Only individuals who were filial and respectful of kinship, knowledgeable and virtuous were chosen to live with the prince on a daily basis. Therefore, from the moment that the crown prince was born, all that he saw was proper, all that he heard was proper, and all that he practiced was proper because all the people by his side were righteous
gentlemen. ...Confucius said: “Lessons learned from a young age will become so natural to an individual that they become natural habits.”

Scroll 16: *Han Shu*, Vol. 4
164. A country that can succeed in establishing a good reputation and turn crisis into peace without resorting to the help from the able and virtuous is something quite unheard of. Likewise, a country need not be big but the government must have the people’s trust. The number of government officials need not be high but the government must have able and virtuous people to assist in its administration. People will support a government that is trustworthy, and able people will be drawn to a leader flanked by able and virtuous officials.

Scroll 43: *Shuo Yuan*
165. Duke Ai asked Confucius: “What is the most important element in humanity?” Confucius said: “In the way of humanity, Zheng, proper governing is most important, and Zheng is ‘uprightness’. When rulers are upright, the populace will follow and become the same.” ...Duke Ai pressed further: “May I ask how should one govern?” Confucius replied: “Husband and wife play different roles; parents and children are affectionate toward each other; superiors and subordinates show trust toward one another. When these three relationships are properly in place, all other relationships will work out amicably.”

Scroll 10: Kong Zi Jia Yu
166. Heaven and earth may be enormous but without sincerity they will not be able to give life to thousands of things. Sages may be intelligent but without sincerity they will not be able to transform thousands of people with their teachings. Relationship between parents and children may be intimate but without sincerity they will drift apart. Kings are considered the noblest by status but without sincerity they will not be respected. Hence, “Sincerity” is that which a superior person will honor, and it is also the foundation of a good government.

Scroll 38: Sun Qing Zi
167. Zilu asked Confucius: “If the ruler of Wei is anticipating your assistance in the administration of his state, what will be your top priority?” Confucius said: “What is necessary is to define terms more precisely. If terms are not precise, then what is commanded cannot be accurately obeyed. If what is commanded cannot be accurately obeyed, work cannot be accomplished. If work cannot be accomplished, propriety and music will not flourish. If propriety and music do not flourish, punishments will not be properly applied. If punishments are not properly applied, then people will have no standard to judge their actions.”

Scroll 9: *Lun Yu*
168.《象》曰：家人，女正位乎内，男正位乎外，天地之大義也。家人有嚴君焉，父母之謂也。父父、子子、兄兄、弟弟、夫夫、婦婦，而家道正，正家而天下定矣。（卷一 周易）

168. The book of Tuan Zhuan said: “In the oracle named ‘family members’: A woman has her correct place on the inside, a man has his correct place on the outside. This is the great equitable way of heaven and earth. Each family is headed by ‘leaders’—a term referring to the father and the mother—who are serious and principled. When parents fulfill their duties as parents; when children fulfill their duties as children; when elder brothers fulfill their duties as elder brothers; when younger brothers fulfill their duties as younger brothers; when a husband fulfills his duties as a husband; when a wife fulfills her duties as a wife, then the family will live in harmony. When all families
live in harmony, the whole world will become stable and harmonious."

Scroll 1: *Zhou Yi*
169. 夫富民者，以農桑為本，以遊業為末；百工者，以致用為本，以巧飾為末；商賈者，以通貨為本，以鬻奇為末。三者守本離末，則民富；離本守末，則民貧；貧則危而忘善，富則樂而可教。教訓者，以道義為本，以巧辯為末；辭語者，以信順為本，以詭麗為末；列士者，以孝悌為本，以交遊為末；孝悌以致養為本，以華觀為末；人臣者，以忠正為本，以媚愛為末。五者守本離末，則仁義興；離本守末，則道德崩。（卷四十四 潛夫論）

169. To increase wealth for the people, first and foremost base the economy on farming and textile production above miscellaneous economic activities. To utilize the skills of craftsmen properly, place the priority on practical projects above decorative works. In business transactions, place proper distribution of goods to the populace above selling exotic items. People will become wealthier if these three
principles are followed. But if the least important became the most important, people will become poorer, and when they become poorer they will forsake proper behavior as opposed to what they would do if they were richer. Likewise, in the field of education, imparting lessons that enable people to become moral individuals is more important than training people to become artful debaters. In the use of language, being truthful and sensible is more important than the ability to use flowery and cunning descriptions. For a learned individual, being filial and respectful toward his parents and elders is more important than entertaining his friends. In the practice of filial piety, what is important is to perform one’s duties with utmost reverence rather than lavishly displaying pomp and ceremony. For a subordinate, being loyal to his superior is more important than being a flatterer. If these five principles are followed, the practice of benevolence and righteousness will flourish. Abandoning what is important and pursuing what is less important will lead to the decline of morality in society.

Scroll 44: *Qian Fu Lun*
170. 夫仁義禮制者，治之本也；法令刑罰者，治之末也。無本者不立，無末者不成。夫禮教之治，先之以仁義，示之以敬讓，使民遷善日用而不知也。（卷五十 袁子正書）

170. The standards of benevolence, righteousness, and propriety form the roots of the administration. The standards of law and punishment form the offshoots of an administration. Without the roots, a nation cannot be established. Without the offshoots a nation cannot be developed. To engage propriety and righteous principles to guide a nation, the administration must first implement benevolent rule and lead the people to nurture respects and humility, making them akin to proper conducts without being aware that this is happening.

Scroll 50: Yuan Zi Zheng Shu
Engaging the Principles

171. Confucius said: “There are six fundamental principles that a person must be aware of before he is qualified as a superior person. They are: The basis of benevolence and righteousness is filial piety. The basis of funeral rites and rituals is the spirit of mournfulness. The basis of a brilliant military strategy is bravery. The basis of a sensible government policy is agricultural production. The basis of national peace and stability is the selection of successors. The basis of creating wealth at opportune times is through hard work. If all these bases are not strong, he should not...
pursue perfection in non-essential matters. If he cannot associate harmoniously with his relatives, he should not strive to extend friendship to others. If he cannot complete his tasks, he should not accept additional tasks. In these situations, he should return to the basics and begin to work from the fundamentals. These are the approach and principles adopted by a superior person.”

Scroll 10: Kong Zi Jia Yu
172. When Zhuge Liang became the prime minister of the Kingdom of Shu, he worked hard to reassure the people. He imparted to them the principles of propriety and righteousness; he ran a lean government which adjusted its policies as necessary to reflect changing circumstances; he was sincere and fair in making decisions. If a political adversary was loyal and beneficial to the country, premier Zhuge would reward him. If a trusted aide broke the law
and neglected his duty, he would punish him. Those who repented their errors would be sentenced less severely even though their offenses were serious. But those who tried to talk their way out of their offenses were sentenced more severely even though their offenses were slight. No matter how small a contribution might be he would give recognition to those who deserved it; no matter how small an offense might be he would impose punishment on the offenders. He was a master in administration, as he would resolve problems at the root, with proper and recognized procedures that gave no allowance to sham and hypocrisy. In the end, all the people in Shu (kingdom) venerated premier Zhuge. Although the laws imposed by him were strict, the people did not utter any grievances because he was fair and honorable, as the parameters of
rewards and prohibitions were clearly defined. Zhuge Liang was truly a remarkable politician and administrator, comparable to the caliber of Guan Zhong and Xiao He-r.12

Scroll 27: *Shu Zhi*

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12Guan Zhong was the prime minister of Qi (state), who centralized power and divided the state into different villages, each carrying out a specific trade. Instead of relying on the traditional aristocracy for manpower, he applied levies to the village units directly. He also developed a better method for choosing talent to be governors. Under Guan Zhong, Qi shifted administrative responsibility from hereditary aristocrats to professional bureaucrats. Xiao He-r, was a renowned statesman and tactician who assisted Liu Bang in finding the Han dynasty. He re-established the laws and statutes and advocated “inaction” in management. He held the office of prime minister until his death in 193BC.
173. Confucius said: “Filial piety is the foundation of all virtues, and the source of all teachings.”

Scroll 9: Xiao Jing
174. A superior person concerns himself with the fundamentals. Once the fundamentals are established, virtues will emerge. Is not being filial to parents, and loving toward brothers and sisters fundamental to the enactment of benevolence?

Scroll 9: Lun Yu
175. Confucius said: “The faithfulness that a superior person has shown in serving his parents can be applied to serving his leader; the reverence that he holds in serving his elder siblings can be applied to serving his superior; the well-regulated operation of his family can be applied to good government in any official position. Therefore, when a person is accustomed to filial and fraternal duties at home, he can venture to start a career and establish his name with future generations.”

Scroll 9: Xiao Jing
176. Confucius said: “The filial piety with which a man serves his parents may be transferred as loyalty to the ruler.” Thus, in order to find a loyal subordinate one only needs to look from families with filial children.

Scroll 22: *Hou Han Shu*, Vol. 2
177. Learn how to be a good son and one will know how to be a good father. Learn how to be a good subordinate and one will know how to be a good leader. Learn how to serve people properly and one will know how to appoint people to the appropriate tasks.

Scroll 10: Kong Zi Jia Yu
178. Zengzi said: “When the people are careful about observing all final rites and rituals for their parents, and continue this reverence even after the ancestors and parents are long gone, the virtue of the people will return to its simple kindness.”

Scroll 9: *Lun Yu*
179. A deep spring will attract fish and turtles to dwell beneath its waters; a thick forest will attract birds to flock within it; a rich grassland will attract animals to rest upon it; a benevolent ruler will attract virtuous people from all over to serve with him. Hence, a sage-king need not beg others to serve him. Instead, he will work hard in creating conditions that will attract good people toward him.

Scroll 39: Lü Shi Chun Qiu
180. In the matter of governing, nothing is better than making an effort to run a bureaucracy that has integrity.

Scroll 47: *Liu Yi Zheng Lun*
181. Zigong asked about government. Confucius said: “Provide sufficient food, sufficient military equipment, and gain the confidence of the people.” Zigong said: “If it cannot be helped, and one of these must be dispensed with, which of the three should we forgo first?” “Military equipment,” said Confucius. Zigong asked again: “If it cannot be helped, and one of the remaining two must be dispensed with, which one of them should we forgo?” Confucius answered: “Part with the food. From ancient times, death has come to all men, but if people have no faith in their rulers, there is no standing for the state.”

Scroll 9: *Lun Yu*
182. Laozi said: “I have three precious things that I prize and hold fast. The first is compassion; the second is frugality; the third is not presuming to be at the head of the world.”

Scroll 34: Lao Zi
183. In judging the character of a person, use the following Eight Observations:

1. When he is prosperous, observe to whom he pays courtesy.

2. When he is prominent and in power, observe whom he
recommends or promotes.

3. When he is wealthy, observe whom he employs.

4. When he is trusted by the superior, observe whether his deeds fulfill his words.

5. When in recess, observe whether his recreational activities are in accordance with righteousness.

6. When he is serving under a superior, observe if his conversations correspond with Dao (the righteous path).

7. When he is in poverty and distress, observe whether he will accept ill-gotten gains.

8. When he is in a lowly position, observe whether he will insist on keeping his moral convictions.

And Six Tests:

1. Bring him pleasures to test whether he can maintain his discipline.

2. Make him happy to test whether he will become evil.
3. Make him angry to test whether he is capable of controlling his anger.
4. Bring him fear to test whether he can maintain his disposition and integrity.
5. Make him feel sorrow to test his compassion and kind-heartedness.
6. Put him in distress to test whether he can maintain a strong will.

The Eight Observations and Six Tests listed above are the methods used by the sage-kings to assess the character of people. In addition, we can observe the way a person interacts with his Six Close Relatives and Four Relations to further our assessment. The term “Six Close Relatives” refers to the father, mother, elder brother, younger brother, wife and children. The term “Four Relations” refers to friends, acquaintances, neighbors and trusted aides. Observe a person’s interactions with his six close relatives and the four relations from within, and assess a person’s
interactions with the outside world using the Eight Observations and the Six Tests. Whether he is truthful or fake, greedy or lowly, kind or evil, all will be revealed. This was the way the sage-king used to identify capable people.

Scroll 39: Lü Shi Chun Qiu
184. 景公問求賢。晏子對曰：「通則視其所舉，窮則視其所不為，富則視其所分，貧則視其所不取。夫上難進而易退也，其次易進而易退也，其下易進而難退也。以此數物者取人，其可乎！」
（卷三十三 晏子）

184. Duke Jing asked Yanzi about the proper way to acquire virtuous and able people to serve under his lordship. Yanzi said: “If that person is prominent and prosperous, look at the people he employs or recommends. If that person is a non-achiever who is in despair, look at the things that he is unwilling to do. If that person is rich, check whether he would donate his wealth. If that person is destitute, check whether he would refuse to accept ill-gotten gains. The most virtuous and able one may be reluctant to take up a post, but once he does, he is willing to step down when necessary. Next is the one willing to take up a post but is
equally willing to step down when necessary. The worst type is the one who is willing to take up a post but refuses to quit regardless of circumstances. These considerations should be enough to identify virtuous and able people.”

Scroll 33: Yan Zi
185. From the following five observations we can determine whether a person is the right candidate for the post of prime minister. 1. Observe whom he likes to be with when he is not in office. 2. Observe whom he befriends, or to whom he grants offerings, when he is rich. 3. Observe whom he nominates when he is prominent. 4. Observe the things that he refuses to do when he is destitute. 5. Observe the things that he refuses to accept when he is poor.

Scroll 11: *Shi Ji*, Vol. 1
186. The military strategic book of Liu Tao sets out eight ways to investigate a good general, as it deemed the selection of the generals to be very important.

1. Ask him questions and observe whether his answers are clear and precise.

2. Then press him further to observe his response to different situations.

3. Commission somebody to conspire secretly with him to test his loyalty.

4. Ask him in no uncertain terms to find out about his
virtuous standing.

5. Put him in charge of money and properties to test his honesty.

6. Lure him with lust to test his ability to restrain himself.

7. Expose him to danger to test his bravery.

8. Make him drunk and observe his behavior.

The difference between an exemplary man and an unworthy man will not be hard to tell once all the eight methods have been deployed and the results are found.

Scroll 31: Liu Tao
187. Rather than listening to hearsay, it is better to observe the events. Rather than observing the events, it is better to observe the actors. When listening to hearsay, one must investigate the sources of the hearsay and identify their motives. When observing events, one must verify their authenticity. When observing the actors, one must investigate their stories thoroughly. A careful analysis of the information gathered from these three aspects can help to minimize the occurrence of mistakes.

Scroll 49: *Fu Zi*
188. The ancients knew that for a leader residing in high position to recruit ideal candidates was not an easy task, so the leader would humbly seek the recommendations of his subordinates to look for the right candidates. Being in lower positions, the subordinates are able to mingle with people easily, so it is ideal to use them to recommend the right candidates for government positions.

Scroll 49: *Fu Zi*
189. Our personal biases may impede us from recognizing the abilities of another. Hearsay or rumor may influence how people see each other. For those close to us, we may have judged them unfairly because of our personal feelings of love or hatred for them. For those who are strangers to us, personal relations may influence our judgment and may destroy standard procedures for recruiting the best minds to the government.

Scroll 30: *Jin Shu*, Vol. 2
190. Confucius said: “It is easy to serve a superior person but difficult to please him. If you do not accord with the principles of virtue in attempting to please him, he will not be pleased. But when it comes to employing the services of others, a superior person only assigns people tasks they are fit to manage. On the other hand, it is easy to please a petty person but difficult to serve him. Even if you do not accord with the principles of virtue in pleasing him, he will still be pleased. But when it comes to employing the service of others, a petty person demands others be able to handle everything.”

Scroll 9: Lun Yu
191. Confucius said: “Can we allow an offensive person to serve a leader? Before he gets a promotion and the remuneration that goes with it, he worries about not getting it. Once he has it, he worries about losing it. When he worries about losing it, there is nothing which he will not do.”

Scroll 9: Lun Yu
任使

Appointing Officials

192. 哀公問曰：「何為則民服？」孔子對曰：「舉直錯諸枉，則民服；舉枉錯諸直，則民不服。」
（卷九 論語）

192. Duke Ai asked Confucius: “What should be done so that people will obey the government?” Confucius said: “Promote the upright men and set them above the crooked, and people will submit. Promote the crooked men and set them above the upright, and people will not submit.”

Scroll 9: Lun Yu
193. The most important post in the central government is that of a cabinet minister (shang shu). Therefore, the selection of a cabinet minister should not be taken lightly. The current ministers are often promoted from lower-ranked deputies (lang guan). Even though these deputies are proficient in rhetoric, mastery of such subjects is only considered as minor intelligence. Most of them actually do not possess the ability to handle important tasks. Hence, it is more appropriate to choose reputable former state officials to take on the post of a minister. While their response may be slower, and even inadequate at times, they are loyal to the country and thorough in their undertakings.

Scroll 22: Hou Han Shu, Vol. 2
194. If oratorical skills become the standard in choosing qualified people, people will work on their oratorical skills. If virtuous conducts become the standard in choosing qualified people, people will work on perfecting their virtue. Being cunning in talking is of little use, but to exert all efforts on good causes will guarantee good results.

Scroll 8: Zhou Shu
195. A good leader will never put flatterers by his side or allow any clique with a private agenda to serve in the government. He uses people’s strengths and does not pressure them to work beyond their abilities. He uses their expertise and does not force them to undertake tasks with which they are unfamiliar. These are general principles to bear in mind when working with the staffs.

Scroll 33: Yan Zi
196. Be assured when selecting senior ministers. Once appointed, trust them to do the job. Appoint them to a post with full honor and grant them dignity when they have to be dismissed.

Scroll 48: *Dian Yu*
197. If a ruler knows he must put forward a policy of austerity but does not know he must increase the remuneration for his staff at the same time, the practice of cheating and bribery will ensue. When he knows he must increase the remuneration for his staffs but does not know he must reduce the number of staffs, the government will soon run out of money. When he knows he must reduce the number of staffs but does not motivate the remaining staffs to optimize their efforts, the increased workload will make the shortage of staffs obvious.

Scroll 47: Liu Yi Zheng Lun
198. Abolish useless posts to reduce and save on unnecessary official salaries. Stop all expenses paid to non-achievers, merge departments, and dismiss redundant officers. There must be a post for every member of the staff, and for every staff member with job responsibilities a salary must be paid which will replace their earnings from farming. This was a principle regularly applied in ancient times, but the principle should still be applicable to this day also.

Scroll 25: Wei Zhi, Vol. 1
199. A virtuous man placed in an insignificant post is considered to be a mistake made by the ruler. An unvirtuous man placed in a senior post is considered to be a misjudgment made by a ruler. One would rather have wronged a superior person than to have employed a petty person, for a superior person will not harbor strong resentments but a petty person with power will cause far-reaching disasters.

Scroll 32: Guan Zi
200. When a leader appoints a good candidate to a government post, he allows unworthy people to impose restrictions on this person. When he appoints a wise person to devise policies, he allows unwise people to appraise this person. When he lets an ethical person implement government policies, he allows malicious people to cast doubts on this person. How can a leader achieve success if he condones these ironies?

Scroll 38: Sun Qing Zi
201. When the perfect order prevails, the world is like a home shared by all. Virtuous and able men are elected to serve the public. All men love and respect their own parents and children, as well as the parents and children of others. There is caring for the old, nourishment and education for the children, and means of support for widows and widowers, orphans, lonely people, as well as for the disabled and sick. Intrigues and conniving for ill gain are unknown, and villains such as thieves and robbers do not exist. These are the characteristics of an ideal world, the commonwealth state.

Scroll 7: *Li Ji*
202. The world is not a world for one but for all. He who shares benefits with the world will earn the support of the world. He who monopolizes benefits for himself will lose the world.

Scroll 31: Liu Tao
203. One needs to be impartial to understand the will of the people. And to be totally impartial, one needs to have no jealousy.

Scroll 49: Fu Zi
204. A leader who loves his people will be able to make them feel safe and at peace. If he enjoys learning from the sages, he will be able to bring prosperity to the country. Without these, his own safety as well as that of the country will be placed in peril. When a ruler clearly understands the responsibilities of his job and is able to distinguish the relative degrees of urgency in each of his tasks, and chooses virtuous and able people to run the government in an orderly way, righteousness will flourish and private side-deals will cease. Subsequently, virtuous and able people will be given important posts while flatterers will be restrained. Those who seek personal benefits will be dismissed, and
those who are incorruptible will be entrusted with greater responsibilities.

Scroll 38: *Sun Qing Zi*
臣聞堯受命，以天下為憂，而未聞以位為樂也。
（卷十七 漢書五）

205. I, (Minister Dong Zhongshu) have heard that when Emperor Yao was entrusted to be the emperor, he had taken upon himself all the world's concerns as his own concerns. He did not rejoice because he had become the emperor.

Scroll 17: Han Shu, Vol. 5
206. The notable politician, thinker and Confucian scholar, Lu Jia, who lived during the Han dynasty, commented that: “When the world is at peace, pay attention to the prime minister. When the world is in crisis or at war, pay attention to the general. When the prime minister and the general can work together in harmony, virtuous people will come around and pledge their allegiance. When this happens, power will not be divided even when the world is undergoing change.”

Scroll 16: *Han Shu*, Vol. 4
207. In my opinion, the powerful state of Qin has not attacked our state (of Zhao) because both of us are here. Now if we, the two tigers, have conflicts and fight among ourselves, we will no longer be able to work side by side. The reason why I am doing this is because I am putting the country's safety before my personal feelings.”

Background story:
The “I” refers to Lin Xiangru, a senior minister in the state of Zhao during the Warring States period. He and General Lian Po served together in the government, and the metaphor of the “two tigers” refers to Lin and Lian. Because Minister Lin had been given credit for returning the precious Heshi jade to the Duke of Zhao, he was promoted to a more senior post than General Lian. Lian was very upset over this promotion and he encouraged his people to smear Lin’s reputation. However, Lin had avoided confronting Lian by giving the excuse that Lin was sick and therefore unable to confront Lian. The quote was based on Lin’s conversation with Lin’s closest aides when the latter asked why he would not retaliate. When Lian Po came to know about this later, he was deeply ashamed. He took off his shirt and tied a bramble branch on his back and went to Lin’s house to ask for forgiveness. The two finally became very good friends ready to serve the country and die for each other.
208. 子曰：「性相近也，習相遠也。」（卷九 論語）

208. Confucius said: “People by nature were born good and pure, but bad habits cause them to lose touch with their natural goodness.”

Scroll 9: *Lun Yu*
209. Ancient rulers knew that although people prefer decency and honor virtue, people are equally prone to greediness and profiteering. Therefore, they drew up policies that not only encouraged people to uphold virtues, but also discouraged them from acting out of greed. When virtue is honored, propriety and courtesy will flourish. When greediness is discouraged, integrity will be preserved.

Scroll 49: Fu Zi
210. The best way to govern people is to pay undivided attention to education.

Scroll 42: Yan Tie Lun
211. Education is “Whatever people in higher positions do will set an example for those beneath to follow.”

Scroll 45: Cui Shi Zheng Lun
212. Benevolent people of the past exemplified virtues to educate the populace and rarely would the populace not be moved by their deeds and learned to esteem the same virtues. They also let the populace know about the behaviors that they detested and so rarely would the populace be ignorant of what shamefulness is about.

Scroll 49: *Fu Zi*
213. Confucius said: “A good student is dutiful to the parents at home, and respects elders and superiors while away from home. He is cautious in doing things, trustworthy, loving all equally, and close to wise and virtuous people. In addition, he needs to further study the literatures passed down from the ancient sages and learned scholars.”

Scroll 9: *Lun Yu*
214. The ancient sage-kings on seeing how education could transform the people, would lead by example to implement universal love. When a king’s conduct made an impact on the public, no one would abandon their relatives. Furthermore, the kings promoted benevolent, righteous and moral values. When the people were inspired by these principles, they began carrying them out and turning them into common practice. When the kings led the way in showing respect and courtesy, the public would learn not to fight with one another. When propriety and music were designed to guide and educate the lay people, people would learn to live together harmoniously. These kings made it
known to the public what they revered and detested, and so the masses would not defy their prohibitions.

Scroll 9: Xiao Jing
215. Confucius said: “For teaching the people to be affectionate and loving, there is nothing better than filial piety. For teaching them propriety and obedience to their elders, there is nothing better than fraternal duty. For changing their manners and altering their customs, there is nothing better than music. For securing the repose of superiors and the good order of the people, there is nothing better than the rules of propriety. The rules of propriety are simply the principle of reverence. Therefore the reverence paid to a father makes all sons pleased. The reverence paid to an elder brother makes all younger brothers pleased.
The reverence paid to a ruler makes all subjects pleased. The reverence paid to one man makes thousands of men pleased. The reverence is paid to a few but the benefit extends to many. This is what is meant by an ‘All-embracing Rule of Conduct.”

Scroll 9: Xiao Jing
216. Confucius said: “Guide the people with policies and align them with punishment, and people will evade capture and gain no personal sense of shame. Guide them with virtues and align them with propriety, and they will gain their own sense of shame and thus correct themselves.”

Laozi said: “As law and orders are increasingly written, loopholes and thievery will become increasingly common.”

Scroll 12: Shi Ji, Vol. 2
217. When Zichan governed the state of Zheng, the populace was not able to deceive him. When Zijian governed the county of Shan Fu, the populace did not have the heart to deceive him. When Xi Menbao governed the county of Ye, the populace did not dare to deceive him. Who among these three governors had the highest ability and wisdom? A wise and perceptive leader should be able to tell the difference and come up with the answer.

Scroll 12: Shi Ji, Vol. 2
218. If people do not understand propriety and righteousness, the law will not be effective. For the law can sentence an unfilial son to death but it cannot make people behave like Confucius or Zengzi (the exemplar filial son). The law can also sentence thieves and robbers to prison but it cannot make people behave honestly like Boyi. Confucius had 3,000 disciples who could fulfill filial duties at home, and respect elders and seniors when away from home. Their words became guidelines for people to follow, and their deeds were sufficient to make them into role models. All these are due to transformation through education.

Scroll 41: *Huai Nan Zi*
219. Wenzi asked about morality, benevolence, righteousness and propriety. Laozi said: “Virtue is what people treasure. Benevolence is what people admire. Righteousness is what people venerate, and Propriety is what people respect. These are the four implements used by sages to lead and command the world.”

Scroll 35: Wen Zi
220. Honoring the virtuous and able as well as giving recognition to moral excellence is something to which a sage-king would attach great importance. Promoting benevolence and transformation through education is what Confucius would speak of most approvingly.

Scroll 26: Wei Zhi, Vol. 2
221. If you are planning for one year, grow the five cereals. If you are planning for ten years, grow trees. If you are planning for a lifetime, educate people.

Scroll 32: Guan Zi
222. Confucius said: “There are three things that a *superior person* venerates. He venerates the law of cause and effect. He venerates virtuous people or people with superior status. He venerates the teachings given by saints and sages. A *petty person* on the other hand, is ignorant of the law of cause and effect and therefore does not venerate it. He also treats his superior frivolously and ridicules the teachings of saints and sages.”

Scroll 9: *Lun Yu*
223. The Confucian school of thought most probably originated from Si Tu, the government minister in charge of education. Their career goals are to assist the sovereign, follow the law of Yin and Yang, and promote the idea of transformation through education. They are dedicated students of the Six Classics focused on practicing benevolence and righteousness. They trace and reiterate the governing principles of Emperor Yao and Emperor Shun, adopt and explain the decrees and regulations issued by King Wen and King Wu, and regard Confucius as their master teacher. They venerate wisdom passed down from ancient sage-kings and consider Dao (laws of nature) as the highest form of knowledge.

Scroll 14: *Han Shu*, Vol. 2
224. The Daoist school of thought most probably originated from the official historians. Daoist writings recorded the reasons that contributed to the success, failure, survival, demise, fortune and disasters of different dynasties. Their works were succinct and cut straight to the core. They advocate “tranquil nothingness” to maintain their integrity, and humility to achieve self-control. These were the implements used by ancient rulers to govern a country in accord with the self-controlling and thoughtful way of Emperor Yao, in addition to the principles of Humility stated in the book of Yi Jing. Humility alone will enable a person to receive blessings from heaven, earth, spirits and human beings. Such is what the Daoists most venerate.

Scroll 14: Han Shu, Vol. 2
225. The difference between human beings and animals is that human beings follow propriety.

Scroll 33: Yan Zi
226. 故禮之教化也微，其正作止邪於未形，使人日徙善遠罪而不自知也，是以先王隆之也。《易》曰：「君子慎始。差若毫釐，謬以千里。」此之謂也。
（卷七 禮記）

226. The influence of propriety works very subtly. It prevents immoral conducts from developing and enables people to automatically stay away from malice and move toward virtuousness day by day without being aware of it. Hence, the kings from ancient times venerated the transforming influence that propriety can bring. The book of Yi Jing said: “A superior person always pays attention to the beginning of any development. If there is a slight deviation or error in the beginning, the end result will differ greatly.” Such is the implication of the influence of propriety.

Scroll 7: Li Ji
227. 道德仁義，非禮不成；教訓正俗，非禮不備；分爭辨訟，非禮不決；君臣上下，父子兄弟，非禮不定；宦學事師，非禮不親；班朝治軍，莅官行法，非禮威嚴不行；禱祠祭祀，供給鬼神，非禮不誠不莊。（卷七 禮記）

227. Without propriety, acts of benevolence, righteousness, and virtues cannot be realized. Using teachings that contain no propriety to impart sagely values to the society will inevitably create discrepancies. Without propriety, quarrels and debates about what is right or wrong cannot be resolved fairly. Further, the roles and relationship played by leaders and subordinates, parents and children, as well as among siblings cannot be determined without the stipulation of propriety. In learning how to run a good administration or other areas of study, failing to observe propriety will make teachers slack in their teachings and
students inattentive toward their studies. It will be difficult to foster a close bond between teachers and students. Be it the drawing of tables of government ranks or the organization of the army, the appointment of officials to task or the execution of laws: if they are not done according to the proper protocol, they will lose their dignity and the confidence of the people. Whether it is a regular or special memorial service for the deceased, or a ceremony for making offerings to the gods and spirits, if these were not done in accordance to proper rites and rituals, the results will only reveal our insincerity as the ceremony will fail to be solemn and respectful.

Scroll 7: *Li Ji*
228. The functions of propriety include: Defining close and distant relationships, clarifying doubts, categorizing subject-matters, and vindicating right and wrong.

Scroll 7: Li Ji
229. A *superior person* whose daily life conforms to the standards of propriety will be able to coexist harmoniously with all people and matters. His mind and heart is always serene without resentment.

*Scroll 7: Li Ji*
230. A rich and noble man who understands propriety is neither arrogant nor licentious. A poor and lowly person who understands propriety is neither fearful nor skeptical about his aspirations.

Scroll 7: Li Ji
231. The propriety of marriage unites two families with different surnames, to commemorate the ancestors with respects and offerings, and produce offspring to carry on the family name and the teachings of the forefathers. Therefore, a superior person would value marriage as an important event. With a man and a woman playing different roles comes a righteous commitment between a husband and a wife. With a righteous commitment between a husband and a wife comes a filial closeness between parents and children. With parents and children displaying a filial closeness comes a rightful relationship
between a superior and his subordinates. Hence, the propriety of marriage is the foundation of all proprieties.

Scroll 7: *Li Ji*
232. The protocol of a court audience before the ruler serves to exemplify the rightful relationship between the ruler and his subordinates. The protocol of diplomatic envoys serves to foster mutual respect among the dukes of neighboring states. The rites and rituals of funeral and memorial services serve to convey gratitude toward one’s superior or parents.
The propriety of wine-drinking serves as a folk custom to let one understand the order between the older and the younger generations. The propriety of marriage serves to show the different roles played by husband and wife. Propriety, thus, prevent chaos just as embankments prevent floods. If we destroy an old embankment that we deemed useless, the inevitable outcome will be a flood. Similarly, if we abolish traditional propriety that we deemed outdated, disasters and chaos will eventually follow. Likewise, if we abolish the propriety of marriage, people will stop taking marital duties seriously and marital life will suffer as a result. This will lead to an increase in crimes related to sexual perversions. If we abolish the propriety of wine-drinking as a folk custom, the order and status of the young and old will be lost. This will lead to an increase in crimes related to disputes and fightings. If we abolish the rites and rituals of funeral and memorial services, government officials and children will become ungrateful toward their superiors
and parents. This will lead to more people to rebel against the teachings of their ancestors and a lack of gratefulness and loyalty toward the living. If we abolish the protocol of diplomatic envoys, or the protocol of court audiences before the ruler, the orderly relationship between the ruler and his officials will be lost. This will lead to chaos in the wake of treason, and the invasion of neighboring states.

Scroll 7: Li Ji
233. Paying respect and making offerings to our ancestors cannot be done too frequently since we will get tired of the rituals and end up becoming disrespectful toward them. However, it should not be performed too infrequently either since this will cause us to become too slack and we may gradually forget our ancestors.

Scroll 7: *Li Ji*
234. Music originates from the heart. When the inner emotion is stirred and then displayed, a sound is produced. Sounds combine to form melodies and this is what we call “music”. During times of peace and prosperity, the music played is serene and joyful because the political situation has been tolerant and harmonious. On the other hand, music played in tumultuous times is filled with anger because the government has violated the law of nature and the common aspiration of the people. When a country perishes, the music played is sorrowful and melancholic because people have been left in desolation.

Scroll 7: Li Ji
235. 音聲之道，與政通矣。宮為君，商為臣，角為民，徵為事，羽為物。五者不亂，則無怠音矣。宮亂則荒，其君驕。商亂則陂，其臣壞。角亂則憂，其民怨。徵亂則哀，其事勤。羽亂則危，其財殞。五者皆亂，迭相陵，謂之慢。如此則國之滅亡無日矣。（巻七 禮記）

235. The inner spirit of music is interconnected with politics. In the Chinese pentatonic scale, gong (宮) symbolizes the ruler; shang (商) symbolizes government officials; jue (角) symbolizes the people, zhi (徵) symbolizes incidents; yu (羽) symbolizes material things. If the five modes are harmonious, discordant sounds will not be heard. However, if the gong mode is disorderly, the music will appear scattered without any central theme. This reflects the arrogance of the ruler and the departure of wise government officials. If the shang mode is disorderly,
music will sound askew. This reflects the moral decadence of government officials. If the jue mode is disorderly, the music will be filled with worries reflecting a tyrannical government and the resentment of the people. If the zhi mode is disorderly, music will sound extremely sad. This reflects the never-ending exploitation of farm labor and the suffering of the people. If the yu mode is disorderly, music will sound of danger and oppression reflecting poverty and the lack of material wealth among the people. If all five musical modes are disorderly, crashing onto each other, this music is termed as “conceited music”—music without any regularity. Once music has reached this point, a country will be destroyed in no time.

Scroll 7: Li Ji
236. 亂世之樂，為木革之聲，則若雷，為金石之聲，則若霆，為絲竹歌舞之聲，則若譁。以此駭心氣動耳目搖蕩生，則可矣，以此為樂，則不樂。故樂愈侈，而民愈鬱，國愈亂，主愈卑，則亦失樂之情矣。（卷三十九 呂氏春秋）

236. During tumultuous times, music played on wooden and leather instruments produces sounds that are like roaring thunder; music played on copper and stone instruments produces sounds that are furious and shocking; light dance music played on bamboo or silk instruments produces sounds that are like cawing outcries. These types of loud music can trouble people’s mind, deafen ears and sway people to become licentious but they cannot bring happiness to people. Therefore, as music becomes more and more insolent, it will precipitate a greater incidence of depression, more chaos, and more
disrespect for the ruler. Subsequently, music loses its original meaning and purpose.

Scroll 39: Lü Shi Chun Qiu
237. 音乐出于心，礼出于外。大乐必易，大礼必简。
（卷七 禮記）

237. Music comes from the heart, and propriety set a standard for a person's outward behavior. Grand music must be amiable and grand ceremonies must be simple and modest.

Scroll 7: Li Ji
愛民
*Caring about People*

238. 利天下者，天下亦利；害天下者，天下亦害之。……仁人在位，常為天下所歸者，無他也，善為天下興利而已矣。（卷四十九 傅子）

238. For those who bring benefits to the world, the world will also bring benefits to them. For those who bring harm to the world, the world will also bring harm to them. ...A benevolent ruler will attract the populace to follow him because he is good at bringing benefits to the world.

*Scroll 49: Fu Zi*
239. When a ruler loves his people as if they are his children, and all the people love him as if he is their father, the ruler can then be deemed as the Son of Heaven in its truest sense.

Scroll 31: Liu Tao
240. The heaven and the earth nourish all things and enable them to prosper and flourish. The sages take good care of the virtuous so that the latter can serve the society and bring happiness to all people.

Scroll 1: *Zhou Yi*
241. Good leaders will love the people as if they were their children, and care for them as if they were their own kindred. They grieve for people who are starving and mourn for people who are toiling in hardship.

Scroll 31: Liu Tao
242. I have heard that a country is prosperous and strong because it treats its people tenderly as if they were injured. This is indeed the country’s good fortune. On the other hand, a country is in ruin because it tramples on its people as if they were mud or grass under its feet. This is indeed disastrous for the country.

Scroll 6: Chun Qiu Zuo Shi Zhuan, Vol. 2
243. Emperor Yao cared about all the people in the world, especially for the poor. He felt pain for the crimes and punishments suffered by his subjects, and he worried that people could not lead a good life. If there was one person starving, Yao would say: “It was me who had caused him to suffer from hunger.” If there was one person freezing in the cold, Yao would say: “It was me who had caused him to suffer from the freezing weather.” If there was one criminal, Yao would say: “It was me who had provoked him to commit the crime.” As Yao's kindness and benevolence became apparent he also set the trend in upholding righteousness.
His virtues were so far-reaching and extensive that people encouraged each other to do good things without expecting any reward, and the government was able to govern without using penalties to punish the people. As Emperor Yao demonstrated, a good leader will first forgive people’s mistakes and then seek to guide them to correct their mistakes through education. That was the statesmanship of Emperor Yao.

Scroll 43: *Shuo Yuan*
244. Zizhang asked Confucius about the principle of benevolence. Confucius said: “If you can practice five types of moral conduct in the world, then you can be considered a benevolent person.” Zizhang then asked: “Please tell me which five?” Confucius replied: “Be respectful, tolerant, trustworthy, perceptive, and kind. Respect others and you will not be insulted. Treat people with tolerance and you will gain their support. Be trustworthy and people will have faith in you. Do things perceptively and you will achieve success. Offer kindness to others and they will serve you with gratitude.”

Scroll 9: *Lun Yu*
245. Confucius said: “I have heard that the feudal lords who preside over states, or the high officials who own family estates do not worry about poverty but they worry that the distribution of wealth may be uneven. They do not worry that they will have too few people but they worry that they may not be able to live in peace. For when distribution of wealth is even, there will be no poverty. And when harmony prevails, there will be no scarcity of people. When there is such a contented repose, there will be no rebellion. In this spirit, if people from afar do not submit, civil culture and virtues are to be cultivated to attract them. Once they have been so attracted, they will be made contented and be able to settle down at ease.”

Scroll 9: *Lun Yu*
246. The so-called good officials nowadays set strict laws to harass the people. They abuse their power and mistreat their subordinates. These officials have no intention of following the laws except to follow their cruel impulses to carry out their actions.

Scroll 42: Yan Tie Lun
247. Seven Losses that can make people destitute:

1. Flood and drought caused by an imbalance between the *Yin* and *Yang* energies.
2. Heavy taxes imposed on farmers.
4. The rich gentry exploiting the farmers endlessly.
5. Oppressive officials prolonging the duration of compulsory free labor supplied by farmers, and so hindering normal working hours for the farmers.
6. The countryside is drowned in the sound of drums alarming the inhabitants about robberies, and men and women have to rush out in all directions to make arrests.
7. Robbers and thugs stealing money, food and tools.

Worse than the Seven Losses are the Seven Deaths—the seven situations that can take away people’s lives:

1. The beating and killing of people by cruel officials.
2. Harsh prosecution of criminal cases.
3. False accusations of innocent people.
4. Widespread robberies and thefts.
5. Vengeance among the enemies.
6. A year of poor harvest causing famine.
7. Rampant epidemics and diseases.

With the Seven Losses making people destitute, it will be difficult for a country to attain stability. With the Seven Deaths taking away people’s lives, it will be extremely difficult to get rid of corporal punishment.

Scroll 19: *Han Shu*, Vol. 7
248. There are Eight Policies that can make a country prosperous:

1. Exercise fiscal austerity to set aside more than adequate financial reserves.
2. Master the agricultural cycles to help farmers produce an abundance of commodities.
3. Attach more importance to agriculture than to trading.
4. Ensure the people have stable employment.
5. Control government expenses—live within your means.
6. Exercise monetary policy to equalize wealth.
7. Check the influence of lobbyists.
8. Put an end to cliques that band together for selfish purposes.

Scroll 50: *Yuan Zi Zheng Shu*
249. 民有餘則輕之，故人君歛之以輕；民不足則重之，故人君散之以重。凡輕重歛散之以時，即準平，故大賈蓄家不得豪奪吾民矣。（卷十四 漢書二）

249. Prices of goods will decline when there is a surplus. The government can take this opportunity to buy the goods and stockpile them. When the demand is greater than the supply, the price will go up and the government can sell off the goods. If the buying and selling is timely, demand and supply will be balanced and the prices of goods will be stabilized. Thus, big merchants and rich families that became rich by cornering the market will not be able to wrest benefits from the common people.

Scroll 14: Han Shu, Vol. 2
法古

*Learn from the Past*

250. 學古人官，議事以制，政乃弗迷。
（卷二 尚書）

250. Study the lessons passed down from the ancients and take heed of what makes a good government minister. Ill-conceived government actions are less likely to happen if a government can base its decision-making on ancient wisdom and advice.

*Scroll 2: Shang Shu*
251. 前事之不忘，後事之師。是以君子為國，觀之以上
古，驗之當世，參以人事，察盛衰之理，審權勢之
宜，去就有序，變化應時，故曠日長久，而社稷安
矣。（卷十一 史記上）

251. Do not forget the experiences and lessons learned
from the past for they shall serve as a reference for future
undertakings. A ruler will study history and verify the
lessons in human affairs within a contemporary context to
understand the rise and decline of a nation. They will also
contemplate the balance of power and its corresponding
scenarios, orderly weighing which ones to reject and
which ones to adopt. Based on these considerations they
will develop appropriate policies that over time will bring
lasting peace to the nation.

Scroll 11: Shi Ji, Vol. 1
252. King Wu asked his strategist, Shang-fu: “Can you tell me how the five ancient emperors kept vigilant of themselves?” Shang-fu said: “Emperor Huang warned himself with this saying: ‘When I lead the people, I am worried and fearful as if night will not turn to morning.’ When Emperor Yao was leading his people, he was extremely apprehensive as if he was standing on the brink of a deep abyss. When Emperor Shun was leading his people, he was extremely cautious as if he was treading on thin ice. King Yu was extremely watchful as if he would not live through the
day. King Tang would stay on guard as if he would not live to see tomorrow.” King Wu said: “Now that I am leading the newly conquered subjects of the Yin nation, I must rule cautiously and remain vigilant without the slightest negligence.”

Scroll 31: Yin Mou
253. There are five types of relations that constitute the moral standards of mankind, and three elements of virtues that are conducive to the fulfillment of these relations. These five types of moral relations include those between the leader and subordinates, parents and children, husband and wife, among siblings, and among friends. The three elements of virtues are wisdom, benevolence and courage, forming the virtuous conduct of mankind. From start to finish, “Sincerity” must be present to enable the five moral relations and the three types of virtuous conduct.
to work out successfully. Some people are conscious of these moral relations and virtues from birth. Some people become conscious of them after learning about them, and some people become conscious of them only after they have put in tremendous efforts in understanding them. However, the end result is the same. As for actualizing these moral relations and virtues, some people will do it naturally, some people will do it for the sake of acquiring personal benefits, and some people will do it reluctantly. In the end, the results will all be the same.

Scroll 10: Kong Zi Jia Yu
254. The five emperors and the three sage-kings of ancient times used eight elements—benevolence, righteousness, propriety, music, status, law, punishment and reward—to govern their states. Benevolence was used in order to provide guidance for their people. Righteousness was used in order to guide the people to do the right thing. Propriety was used in order to regulate people’s behavior. Music was used in order to mediate human relations. Status was used in order to define people’s social roles. Law was used in order to align the people. Punishment was used in order to deter people from committing crimes. Reward was used in order to encourage people to do good deeds.

Scroll 37: *Yin Wen Zi*
255. Therefore, it was said that being benevolent is nothing more than loving and protecting the people. Being wise is nothing more than knowing who are the virtuous; being an able government leader is nothing more than knowing whom to hire for the right job. If the leader of a state can fulfill these three conditions, people from all over the world will submit to him.

Scroll 10: Kong Zi Jia Yu
The king (Son of Heaven) was responsible for teaching the men, and the queen was responsible for teaching the ladies to be gentle. The king handled the tough masculine matters, and the queen handled the gentler feminine issues. The king managed the external affairs, and the queen managed the internal affairs. When the education of men and the gentleness of women became customary, and when external and internal affairs were harmonized, such that family and official affairs were managed equally well, this could be considered as the glorious exemplification of virtuous conduct.

Scroll 7: *Li Ji*
257. 治天下有四術：一曰忠愛，二曰無私，三曰用賢，四曰度量。度量通，則財用足矣；用賢，則多功矣；無私，百智之宗也；忠愛，父母之行也。
（巻三十六  尸子）

257. There are four skills that enable a good government: First, be trustworthy and loving toward the people. Second, be fair and selfless. Third, appoint virtuous and able people to run the government. Fourth, manage financial resources carefully. Prudent financial management will ensure sufficient wealth for the nation. Employing virtuous and able persons to run the government will enable the government to make more contributions. Selfless devotion provides the source of wisdom, and loving the people trustingly embodies the conduct of parents loving their children.

Scroll 36: Shi Zi
258. When the granary is replete with staple foods, people will comprehend the meanings of propriety. When they have sufficient food to eat and clothes to keep them warm, they will comprehend the meanings of honor and disgrace. When a leader obeys the legal standards of propriety, his close relatives will live in unity and harmony. When the four anchors in building a nation are upheld, the ruler's directives will be carried out without impediment. Dispensing with these four anchors will lead to the
downfall of a nation. If one of these four anchors was broken, the country would become unstable. If two of these anchors were broken, the country would be in danger. If three of these anchors were broken, the government would be toppled. If all four anchors were broken, the state would be in ruin. A state that is unstable can still be restored to its equilibrium. A state that is in danger can still be restored to its peaceful state. Even when the government is overthrown, it is still possible to save the country. But resurrecting a ruined country would become impossible. So what are these four anchors? They are: propriety, righteousness, integrity, and moral shame. A government is effective because it responds to the common aspirations of the people. A government that runs against people’s aspirations will be abandoned by the people.

Scroll 32: Guan Zi
259. According to ancient customs, the social status of teachers was highly revered. Thus no sage-king would show disrespect toward his teachers, irrespective of whether the teachers were nobles, low-ranking, wealthy or impoverished.

Scroll 39: Lü Shi Chun Qiu
260.西省：「何謂臣而不臣？」對曰：「君之所不名臣者四：諸父臣而不名；諸兄臣而不名；先王之臣，臣而不名；盛德之士，臣而不名；是謂大順也。」（卷四十三 說苑）

260. King Tang asked: “When can a minister not be addressed as a minister by the king?” Yi Yin replied: “There are four scenarios where this can happen. Your majesty’s uncles are senior ministers whom you cannot address as ministers. Your majesty’s elder brothers are senior ministers whom you cannot address as ministers; ministers who had served under the late kings are senior ministers whom you cannot address as ministers. Furthermore, people who are most virtuous can become senior ministers but they cannot be addressed as ministers. This protocol is appropriate within the principles of moral law.”

Scroll 43: Shuo Yuan
261. Confucius said: “He who is not in any particular office has nothing to do with plans for the administration of its duties.”

Scroll 9: *Lun Yu*
262. If a leader were to take charge of everything, his subordinates would not take the initiative to perform their duties. This would mean that the role of the leader and the subordinates is reversed, a situation which will bring forth disorder. If a leader does the opposite, the subordinate will perform the duties that are appropriate to them and complete their appropriate tasks. Such is the orderly leader-subordinate relationship, which is the key to distinguishing peace and order from chaos and turbulence. This should be very well understood.

Scroll 37: Shen Zi
263. Government regulations should not be issued by too many departments. Different instructions given on the same regulations will confuse people.

Scroll 29: *Jin Shu*, Vol. 1
Reward and Punishment

264. Wise kings of the past would reward or punish an individual based upon his merits or misdeeds. The despots of tottering dynasties would punish or reward an individual based on their personal preferences.

Scroll 23: *Hou Han Shu*, Vol. 3
265. If rewards are not given to the deserving individual, good people will lose their confidence and begin to doubt if their efforts are worthwhile. If punishments are not given to the perpetrators, evil people will disregard the laws and continue with their wrongdoings without any shame or fear.

Scroll 46: Zhong Lun
266. Hence the ancient sage-kings have passed down this lesson to us: Those who recommend the virtuous to take on official posts will be rewarded handsomely; those who stifle the appointment of the virtuous will be punished severely.

*Scroll 49: Fu Zi*
267. Official titles and stipends are the basis of a nation’s authority, and they pave the way to wealth. Hence, the conferring of titles and stipends cannot be deemed unimportant. If this is the case, anyone who is not virtuous should not be conferred any official titles; anyone who is not meritorious should not be given stipends. Once the rules and regulations regarding the conferring of titles and stipends are established, good officials will not dare to accept noble titles if their moral standing is unsatisfactory; dedicated ministers will not dare to accept handsome stipends if their contributions are meager. Under such circumstances, will men of small virtue
and meager contributions dare to use deceptive maneuvers to meddle with the system of titles and stipends?

Scroll 49: Fu Zi
268. The Marquis Wen of Wei (state) asked Li Ke: “What factors contributed to the emergence of punishment?” Li Ke said: “Punishment was born as a means to subdue treacherous and promiscuous behaviors. Just as hunger and cold will compel people to commit treacherous acts, the consumption of overly decorative garments by high society will bring about dissolute behavior. When farm workers are forced to build lavish mansions with intricate carvings,
this will hinder their agricultural production. When the female needleworkers are forced to make excessive ornamented garments this will hinder their normal textile production. Delayed agricultural production is the source of hunger, and delayed textile production is the source of not having enough warm clothing for the cold weather. Rare indeed were treacherous crimes that occurred where the ordinary people had not first been reduced to hunger and poverty. Rare indeed were promiscuities that had not been preceded by men and women showing off to each other in their excessive make-up and ornamented wardrobes. …If the ruler does not rectify the root cause of punishment but to seek only to punish the people, surely this will be detrimental to the well-being of the country.”

Scroll 43: Shuo Yuan
269. Try using benevolent means first before enforcing the law. Try using education to transform people first before using penalties to punish them. This is the order of importance in the governing of a country.

Scroll 50: Yuan Zi Zheng Shu
270. The legal system is neither bestowed by heaven nor born out of the earth. It is created by human beings to regulate and restrict their behavior. If we can get to the root of the problems, we will not make petty mistakes. If we can master the principles, we will not be trapped in doubts. If a ruler is able to handle a task competently, he will not reproach or criticize others for their inability to do the same. If the ruler is unable to accomplish a task, he will not demand others to accomplish the task for him. What he does not want others to do, he must first forbid himself to do the same. Therefore, a ruler must lead by example, and when legislators are able to follow and abide by the
prohibitions that they have established, the populace will certainly become law-abiding.

Scroll 35: Wen Zi
271. King Cheng of Zhou dynasty said: “To my officials at all levels: You are expected to manage your work dutifully. You should be careful with any orders that you issue, and once an order has been issued, it must be carried out and cannot be changed at will. Be fair-minded and let go of personal interests or grievances. If you can do so, people will definitely trust and follow you.”

Scroll 2: *Shang Shu*
272. *Shang Shu* said: “It is better to be faulted for not following the usual investigation procedures than to make a mistake and putting innocent people to death.”

*Scroll 17: Han Shu, Vol. 5*
273. Confucius said: “In hearing lawsuits, I am no better than anyone else. What is imperative is to make it so that there are no lawsuits.”

  Scroll 9: *Lun Yu*
274. A good leader deploys military forces to save and not to harm, to salvage and not to create crisis. …Thus it is said: “Warmongers will be annihilated, but he who fails to prepare for war will face danger.”

Scroll 47: Zheng Yao Lun
275. The art of war is a matter of life and death. No amount of effort can restore life to men killed in action. Thus, the deployment of war as an option is never an easy decision.

Scroll 50: Yuan Zi Zheng Shu
276. Weapons are instruments of evil omen; they are not the instruments of a *superior person*. A *superior person* uses them only out of necessity. Calmness and repose are what he prizes; victory by force of arms is, to him, undesirable. To consider the latter desirable would be to delight in the slaughter of men. He who delights in the slaughter of men cannot instil his will in the world. On occasions of festivity, seats on the left are more prestigious. On occasions of mourning, seats on the right are more prestigious. In the army, the commander-in-chief has his place on the right,
and the second in command has his place on the left. This signifies that the army adopts the same principle as that of the funeral rites when they go to war. He who has killed multitudes of men should weep for them with the bitterest grief. The victor in battle has his place according to the funeral rites.

Scroll 34: *Lao Zi*
277. Barren land with thorn bushes and people living in privation are remnants of an army’s presence. After a war, bad years will follow.

Scroll 34: *Lao Zi*
278. Maneuvering an army of a hundred thousand soldiers will cost millions of dollars per day. Winning all battles is not necessarily the best strategy. Winning without waging a battle is the best strategy of all.

Scroll 37: Wei Liao Zi
279. An army raised to rescue people from tyranny is a righteous army. It will win the support of the people. An army raised to defend the territory against invasion is a counteracting army. It will win in the end. An army raised to fight bitterly at the slightest provocation is a wrathful army. It will lose the war. An army raised without discipline will steal and rob people’s belongings. It is a greedy army that will be dislodged. An army raised to believe that it is an army of a superpower state is an arrogant army. It will be annihilated. These five principles are not the doing of any human. They are the way of natural law.

Scroll 19: *Han Shu*, Vol. 7
The deployment of military forces is of vital importance to a state as it is a matter that will decide life or death. The fate of a state lies in the hands of the generals, and so kings in the past placed utmost importance on the selection of generals and commanders.

Scroll 31: Liu Tao
281. 視卒如嬰兒，故可與之赴深谿；視卒如愛子，故可與之俱死。（卷三十三 孫子）

281. Regard your soldiers as your children, and they will follow you into the deepest valleys; look upon them as your own beloved sons, and they will stand by you even unto death.\(^{14}\)

Scroll 33: *Sun Zi*

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\(^{14}\) Lionel Giles. transl.
Chapter Five
RESPECTFULLY
CAUTIOUS
282. A family that accumulates many good deeds will bring wealth and blessings to its descendants. A family that accumulates many bad deeds will bring calamities to its descendants.

Scroll 1: Zhou Yi
283. If acts of goodness were not accumulated, one’s name could not be established. If acts of evil were not accumulated, one’s life could not be destroyed. To a petty person, a minor good deed that cannot bring him any recognition is not worthy of his effort; a minor bad deed that cannot bring him much harm is not worthy of correction. Hence, his wickedness grows until it cannot be concealed, and his guilt grows until it cannot be pardoned.

Scroll 1: Zhou Yi
284. A gigantic tree that ten people can wrap their arms around started as a young shoot. When the shoot was not well formed, it could be easily snapped with one step of our foot or uprooted by a simple pull. When we sharpen a knife on a whetstone, we may not see that it is wearing away the whetstone, but after a certain period the attrition will break the stone into half. When we plant trees and raise domesticated animals, we may not notice their growth, but after a certain time we can see that they have become mature. Likewise, when we accumulate virtue and benevolence, we may not see their benefits immediately,
but after a certain period the effects that they produce will become visible. If we abandon benevolence and righteousness and go against the law of nature, we may not feel anything wrong now, but there will come a time when disasters will befall us.

Scroll 17: *Han Shu*, Vol. 5
285. 傲不可長，欲不可從，志不可滿，樂不可極。
（卷七　禮記）

285. Do not let arrogance grow; do not let desire fly loose; do not let ambition become excessive; do not let pleasure flow unchecked.

Scroll 7: Li Ji
286. Insightful people can anticipate troubles ahead of time. Wise people can anticipate danger before danger takes shape. Catastrophes always lurk in hidden places and appear at the moment least expected.

Scroll 18: *Han Shu*, Vol. 6
287. Confucius said: “If a man takes no thought about what is distant, he will find sorrow near at hand.”

Scroll 9: *Lun Yu*
288. Confucius said: “He who rests safe in his seat will bring danger upon himself. He who presumes order is secured will face ruin. A nation that presumes its political environment is stable will face chaos. Therefore, a *superior person*, when resting in safety, does not overlook that danger may arise; when all seems stable he does not overlook that ruin may happen; when all is in a state of order he does not overlook that chaos may erupt. In this way his person is kept safe, and his states can be preserved for a very long time. The book of *Yi Jing* says: ‘(Always alert yourself) The end is near! The end is near! And the security of the state will be firm as if bound to a clump of bushy mulberry trees.’”

Scroll 1: *Zhou Yi*
289. Misery—happiness is to be found by its side!
Happiness—misery lurks beneath it! Who can tell what either will come to in the end?

Scroll 34: Lao Zi
290. “People who advised others to curve the chimney and move the firewood away were not thanked with gratitude. In contrast, those who saved fire victims and got badly burnt and injured were treated as guests of honor.” This description laments the fire victims’ mistake of inverting priorities. So it is not just a story about curving the chimney and removing firewood to avoid a disaster. …People often do not take adequate precautions. Instead, they do their best to control the damage after the fact. Rare indeed are strategists rewarded, while fighters are frequently honored.

Scroll 44: Huan Zi Xin Lun
291. Being disrespectful and playing pranks on others will ruin our virtues. Over-indulgence in things that give us pleasure will ruin our ambitions.

Scroll 2: Shang Shu
292. Jizi was the uncle of the despot King Zhou. When King Zhou began using ivory chopsticks, Jizi lamented: “Since his majesty is using ivory chopsticks, he will start drinking from a jade goblet. After drinking from a jade goblet, he will start craving for exotic things to satisfy his appetites. And so the chase after luxurious horse-drawn chariots and palace chambers will begin. By then, our country will have no hope of reversing its misfortune.”

Scroll 11: Shi Ji, Vol. 1
293. Anticipates things that are difficult while they are easy, and does things that would become great while they are small. All difficult things in the world are sure to arise from a previous state in which they were easy, and all great things from one in which they were small. Therefore the sage, while he never does what is great, is able on that account to accomplish the greatest things.¹⁵

Scroll 34: Lao Zi

¹⁵James Legge, transl.
294. Pang Nuan said: “My lord, haven’t you heard that Marquis Wen once asked Doctor Bian Que this question: ‘In your family of three brothers, whose medical skill is the best?’ Bian Que answered: ‘My eldest brother’s medical skill is the best, my second brother’s comes second, and mine is considered the last of the three.’ Marquis Wen asked: ‘Why do you say so? Can you explain it to me?’ Bian Que said: ‘When my eldest brother diagnoses a patient,
he examines his appearance and complexion. Before the pathogen could cause a disease, he has already cured the patient. This is why he is not a well-known doctor; his reputation only stays within the house. My second brother is able to cure his patient immediately when the symptoms of an illness begin to manifest themselves, so he is better known. But his reputation does not go beyond our neighborhood. As for me, I use acupuncture to improve blood circulation. I also prescribe medicinal soups that are potent, and I perform surgeries on my patients. That is why my good reputation is well known among the feudal lords.”

Scroll 34: He Guan Zi
295. When all the wise ministers can work with one another harmoniously in the government, then all things outside the government will also exist harmoniously with one another. So there is no reason why peace cannot prevail in the world.

Scroll 15: *Han Shu*, Vol. 3
296. When everybody establishes a composed and respectful nature, and discreetly cultivates a virtuous conduct, no criminals can hope to escape from punishment and no innocent people will have to live in worry and fear. People stop trying to curry favor, and bribery no longer works. Thus, people become calm with few grievances. This state of affairs is known as the “correct social custom.”

Scroll 46: Shen Jian
297. The leader and his ministers are close to each other within the boundary of proper protocol. Officials remain cordial toward each other albeit they hold different points of view. They give way to one another and do not clamor for credit. They work hard with no complaints. In times of stability, they keep firmly to their respective duties. These are signs of a country that is enjoying stability and peace.

Scroll 46: Shen Jian
298. In ancient times, people were appointed to government posts because they were virtuous and gifted. Today, people are appointed because they have money and powerful connections.

Scroll 23: *Hou Han Shu*, Vol. 3
299. When higher ranking officials are arrogant and lower ranking officials are harsh and brutal, they will cause thieves and bandits to band and plot mischief. Valuable belongings that are not properly kept and hidden become targets for thieves and robbers. When attires and dispositions are overtly sensuous, they have the effect of seducing others to commit sexual misconduct.

Scroll 1: *Zhou Yi*
300.民之過在於哀死而不愛生，悔往而不慎來。善善作喜語乎已然，好爭乎遂事，墮甸，如斯以及於老。（卷四十六 中論）

300. The problem with most people is that they would rather mourn for the dead than to love the living, and to regret the past than to plan for the future. They love to talk about the past, and argue over things that have already been done. They waste their time and refuse to face the future until the day they die.

Scroll 46: Zhong Lun
治亂

Conquering Chaos

301. It is written in the Memoirs of the Elder Huang Shi:
“The gentle could overcome the tough, and the weak could overcome the ruthless.” Being gentle is virtuous, and being tough is harmful. Naturally, the weak receive help from benevolent people, but ruthless people will only arouse enmity. Individuals who give up what is near at hand and seek what is far away will achieve little success despite their efforts. Individuals who give up what is far and seek what is near will achieve success easily. This will help more loyal officials to serve the state better, but the futile efforts of the
former will only cause more people to revolt against the
government. Therefore, it is said that a lord who craves
to conquer more lands will eventually find his own lands
turning barren. A lord who works on inculcating good
morals among the people will lead his state to become
stronger. Cherishing possessions already owned results in
peace, but craving for others’ possessions would make one
become ruthless. Even when ruthless politics might bring
success in the short run, in the long run it inevitably brings
defeat.”

Scroll 21: *Hou Han Shu*, Vol. 1
302. Confucius said: “There are three thousand offenses against which the five punishments of the ancient times are directed, and not one of them is greater than being unfilial. Those who threaten the ruler are repudiating his superiority. Those who undermine the authority of the sages are repudiating the validity of all laws and propriety. Those who malign filial piety are disowning the affection toward their parents. These three kinds of people will pave the way for anarchy.”

Scroll 9: *Xiao Jing*
303. 上下交征利而国危矣。（卷三十七 孟子）

303. If everyone in the country is fighting for their own interest, the country will be placed in danger.

Scroll 37: Meng Zi
304. Duke Ai asked Confucius: “I have heard that building an extension on the east side of a house is inauspicious. Is this true?” Confucius said: “There are five inauspicious matters but building an extension on the east side of a house is not one of them. Damaging others to benefit oneself is inauspicious for oneself. Abandoning the old in favor of the young is inauspicious for the family. Dismissing the able and virtuous in favor of the unworthy is inauspicious for the country. When elders refuse to teach and the young refuse to learn, this is inauspicious for the society. When
the sages are in hiding and the ignorant hold power, this is inauspicious for the world. All in all, these are the five inauspicious matters but building an extension on the east side of the house is not one of them.”

Scroll 10: *Kong Zi Jia Yu*
Heedful of Troubling Signs

305. A leader is analogous to a boat, while the people are analogous to water. Water can carry a boat, it can also capsize a boat. A leader should take heed of the danger told in this analogy and understand what could be dangerous.

Scroll 10: Kong Zi Jia Yu
306. 天子之子，不患不富貴，不患人不敬畏，患於驕盈不聞其過，不知稼穡之艱難耳。至於甚者，乃不知名六畜，可不勉哉！（卷二十九 晉書上）

306. A crown prince need not worry about his wealth, or whether people will regard him with awe. He should instead worry about his insolence and expensive tastes, his isolation from criticisms of his faults, as well as not knowing how hard farmers have to work to make a living. What is worse is that he cannot even name the six domesticated animals. If this is the case, is it not time to study harder?

Scroll 29: Jin Shu, Vol. 1
307. Mencius said: "Even with the powerful eyesight of Lilou and the skillful hands of Gongshu, no perfect squares and circles could be drawn without the use of a compass and a carpenter’s square. Even with the acute ear of the music-master Shikuang, musical notes cannot be calibrated accurately without the use of the pitch-tubes. Even with a virtuous character as good as that of emperors Yao and Shun, no government can secure order for the country without the benevolent laws laid down by the ancient sage-kings. ...Hence it is said: Virtue by itself is insufficient in forming a good government, and laws cannot run effectively on its own."

Scroll 37: *Meng Zi*
308. King Wen asked Tai Gong: “How does the ruler of the state, the leader of his people, come to lose his position?” Tai Gong answered: “He loses his position because he is
not cautious about whom he associates with. He should have used the Six Characteristics to select capable men and safeguard the Three Treasures. The Six Characteristics being: benevolence, righteousness, loyalty, trustworthiness, courage, and the ability to strategize. These are the Six Characteristics to look out for when selecting capable men.”

King Wen asked: “How does one go about using these criteria to select good men?”

Tai Gong said: “Make them rich and observe whether they commit offenses. Put them in high positions and see if they become arrogant. Entrust them with office and see if they stay. Make them solve a problem and see if they will conceal anything. Put them in the way of danger and see if they are afraid. Task them to manage an emergency and see if they are able to handle it well. If they are rich but do not commit offenses, then they are benevolent. If they are in high position but do not
become arrogant, then they are righteous. If you entrust them with an office and they stay, then they are loyal. If they solve a problem without concealing anything, then they are trustworthy. If they are in danger and are not afraid, then they are courageous. If you task them to manage an emergency and they handle well, then they are capable of making plans and strategizing. My lord can use these Six Characteristics to recruit capable men. In addition, the ruler cannot entrust the Three Treasures to other people, otherwise he will lose his authority. The Three Treasures are Agriculture, Industry and Commerce. When the Six Characteristics are conserved, the country will flourish. When the Three Treasures are flawless, the state is secure.”

Scroll 31: Liu Tao
309. Duke Jing asked Yanzi: “What should a ruler worry about the most in the matter of governing a state and its people?” Yanzi replied: “There are three things that my lord should be most worried about:

1. A minister who is loyal to the ruler is not being treated as trustworthy.
2. A minister who is trusted by the ruler is unfaithful to the ruler.
3. A ruler and his ministers have different agendas in their mind.
With a wise ruler sitting in a position of authority, the incidents of a ruler distrusting his ministers will not happen, and the possibility of his trusted ministers betraying his trust will be eliminated. The ruler and his ministers share the same aspirations, and the populace will have no grievances.”

Scroll 33: Yan Zi
310. Mozi said: “A state may face the onslaught of the Seven Perils. What are these Seven Perils? They are:

1. The palace and its chambers undergo renovations while the four walls of a fortress and its surrounding defensive trenches can hardly withstand the attack of enemies.
2. None of your neighbors comes to the rescue while enemies invade your territory.
3. Valuable human resources are used on useless projects and unworthy people are rewarded.
4. The officials are only concerned about protecting their jobs and income; scholars without posts are only concerned about establishing circles of influences. Meanwhile, a ruler amends laws to deter his ministers from voicing their opinions.
5. The ruler overestimates his own cleverness and fails to question the progress of administrative affairs. He takes no precautions because he assumes everything is in order.
6. Trusted ministers betray his trust while loyal ministers are cast aside.
7. Reserves and food crops are insufficient to feed the people, and ministers are incapable of shouldering government responsibilities. Rewards cannot make the people happy and punishments cannot keep them in awe.
If a government runs into these Seven Perils, the state will certainly meet its demise. If a fortress runs into these Seven Perils, the city within the four walls will certainly fall into the hands of the enemy. Wherever these Seven Perils dwell there will be disasters.”

Scroll 34: Mo Zi
311. The Legalist, Han Feizi, summarized the faults of a ruler into the following Ten Faults:

1. To practice loyalty in small ways, which betrays loyalty in big ways.
2. To esteem small advantages, which hampers big
advantages.

3. To force personal biases, assert oneself, and behave discourteously before feudal lords, which leads to self-destruction.

4. To neglect government responsibilities and indulge too much in songs and music, which plunges one into distress.

5. To be greedy, self-opinionated and rejoice in nothing but gain, which sows the root of destruction for the state and oneself.

6. To become infatuated with women singers, dancers and musicians, and neglect state affairs, which forecasts the demise of the state.

7. To leave home for distant travels and ignore remonstrations from the ministers, which is the surest way to endanger one’s august position at home.

8. To commit faults, refuse to listen to loyal ministers, and enforce one’s own opinions, which destroys one’s high reputation and causes people to laugh at one’s demise.
9. To take no account of internal strength but rely solely upon foreign allies, which places the state in grave danger of dismemberment.

10. To insult big powers despite the smallness of one’s own country and take no advice from advisors, which paves the way to the extermination of one’s posterity.

Scroll 40: Han Zi
312. The leader who has caused the downfall of his state must have been a man of self-importance, arrogant and disrespectful of able and virtuous people. He must have perceived himself to be clever, indomitable, and too important to waste his time on matters of administration.

Scroll 39: *Lü Shi Chun Qiu*
313. Tedious rites and rituals will make propriety appear less solemn. Taking up too many tasks will make achievements less evident. Harsh laws will stir the populace to defiance, and when there are too many prohibitions, they will become ineffective.

Scroll 39: Lü Shi Chun Qiu
314. Birds will peck when they are desperate. Animals will bite when they are desperate. Humans will cheat when they are desperate, and horses will run away when they are desperate. To this day, no ruler could stay safe and free from danger if his officials and people were driven to desperation.

Scroll 10: Kong Zi Jia Yu
315. Confucius said: “A superior person is on guard against three things: When he is a young man and his physical energies are not yet settled, he is on guard against lust. When he is in his prime and his energy is solid, he is on guard against combativeness. When he is old, and his physical power is weakened, he is on guard against greed.”

Scroll 9: Lun Yu
316. In ancient times, on the day when a man is laid to rest, eulogy will be written to attest to his virtues and contributions, as well as latter vices that cannot be concealed with the good deeds done earlier.

Scroll 29: *Jin Shu*, Vol. 1
317. A superior person uses three object lessons to guide himself: Taking lessons from history, taking lessons from people, and taking lessons from the mirror. From history he learns how to avoid repeating the same mistakes. From people he learns how to identify good officials. From the mirror, he can reflect upon himself clearly.

Scroll 46: Shen Jian
318. An official position is comparable to a loom used to weave benevolence; the authority is comparable to a shuttle facilitating righteousness. The sage steps on the loom and holds the shuttle, weaving educational lessons for the world, enabling all things to grow in harmony, and making the moral relations of human beings upright and proper.

Scroll 46: Zhong Lun
319. Sages live in seclusion in order to avoid danger, but quietly they make observations and wait for the next opportunity to arise. But petty persons, who do not know why good or bad fortune happens, would stumble into catastrophe every time they acted rashly, irrespective of how many precautions they might have taken to protect themselves.

Scroll 35: Wen Zi
320. Confucius said: “A superior person must calm himself before he takes any actions; be at ease before he speaks; earn the trust of others before he asks any favor from them. If a superior person can exemplify these three traits, he will be able to exist harmoniously with others without misgivings.”

Scroll 1: Zhou Yi
321. Confucius said: “For a superior person, there are nine things he needs to take notice of: In seeing, he must seek to understand correctly. In hearing, he must listen with clarity. His demeanor must be one of cordiality. His countenance must be one of respectfulness. He must be conscientious when he speaks, and serious in his tasks. When in doubt, he must seek advice. When in anger, he must seek to realize its negative impact. He must also think of what is right at the sight of gain.”

Scroll 9: *Lun Yu*
322. A superior person is knowledgeable but he is humble. He says little but he puts principles into practice. He takes actions but allows others do the talking. When he sees personal gains he contemplates whether these gains will bring forth humiliations. When he wants to back off from a problem he contemplates whether this action will bring forth insults. As soon as greed arises, he can sense shamefulness. As soon as his anger arises, he can sense pending disasters. A superior person will hold on to this prudent attitude all his life.

Scroll 35: Zeng Zi
323. Confucius said: “A superior person strives to be discreet in speech but quick in action.”

Scroll 9: Lun Yu
324. Success depends upon preparation in advance. Without such preparation there will be failure. Know what to say in advance and you will not be stuck for words. Know what to do in advance and you will not be trapped in difficulties. Take actions after a plan has been carefully laid out and you will not make regrettable mistakes. Once the principles of moral standards have been set in one’s mind, it will not be difficult to put the principles into practice.

Scroll 10: Kong Zi Jia Yu
325. Zixia was appointed as the magistrate of county Ju Fu. He asked Confucius about governance. Confucius said: “Do not expect to achieve results quickly. Do not look at small advantages only. The desire to achieve quick results will lead to the opposite. The narrow view on gaining small advantages will impede us from achieving bigger successes.”

Scroll 9: *Lun Yu*
326. 嬰聞一心可以事百君，三心不可以事一君。故三君之心非一心也，而嬰之心非三心也。
（卷三十三 晏子）

326. Yan Ying said: “I have heard that one can serve one hundred kings with all his heart, but he cannot serve one king well with divided loyalties. While the wishes of the three lords are not the same, I, for one, am not a servant with divided loyalty.”

Scroll 33: Yan Zi
327. A country that does not have nine years of food in reserve is said to be deficient in its financial standing. If it does not have six years of food in reserve, it is said to be in a state of fiscal crisis. If it does not have three years of food in reserve, it is said to be a country that has lost its sovereignty. In every three years of farming, one year of surplus food must be reserved for emergency use; in every nine years of farming, three years of surplus food must be reserved. If we use thirty years as the base, the country will be able to have enough reserved food to withstand periods of drought and flood, and thus no famine will occur. The Son of Heaven can then be worry-free and be able to dine in fine music.

Scroll 7: Li Ji
慎始終

*Exercise Caution from the Beginning to the End*

328. 慎終如始，則無敗事。（卷三十四 老子）

328. If people were as careful at the end as they should be at the beginning, they would not ruin their success.

    Scroll 34: *Lao Zi*
329. The Book of Shi Jing states that: “In the beginning, all are good. But few prove themselves to be so in the end.” If a leader cannot maintain his virtuous actions until the very end, he cannot be a good leader.

Scroll 33: Yan Zi
330. Nurturing children at an early age to let them learn to become righteous people is the most sacred form of contribution to mankind.

Scroll 1: Zhou Yi
331. In order to stay healthy, rest to attain mental tranquility first and maintain physical fitness next. When the mind is tranquil, the whole body will become healthy. This is the major part of a fitness plan. Plumping up the skin and satisfying the appetites constitute the minor part of a fitness plan.

Scroll 35: Wen Zi
332. Maintaining a tranquil spirit; overcoming negative thoughts; staying away from factors that can cause rheumatism; controlling eating and drinking habits discreetly; keeping indulgences in check. All these are tips for longevity.

Scroll 45: Chang Yan
Chapter Six
DISCERNING
邪正

*Good or Evil*

333. 子曰：「君子和而不同，小人同而不和。」
（卷九 論語）

333. Confucius said: “A *superior person* may hold different opinions from others but he can live in harmony with others. A *petty person* may seem agreeable with others but he cannot live in harmony with others.”

Scroll 9: *Lun Yu*
334. Confucius said: “A *superior person* perfects what is good in people. He does not perfect what is bad. A *petty person* does the opposite.”

Scroll 9: *Lun Yu*
335. A superior person conceals the faults of others as a means to cultivate his own kindness. A petty person destroys the kind deeds of others as a means to show off his own greatness.

 Scroll 48: Ti Lun
336. Zigong asked Confucius: “Will superior persons despise anyone?” Confucius said: “Superior persons despise people who expose the faults of others. They despise subordinates who slander their superiors. They despise brave people who are discourteous, and they despise resolute people who are unreasonable.”

Scroll 9: Lun Yu

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16We should avoid exposing the wrongdoings of others but propagate as many kind deeds as we can. When a subordinate sees faults in his superior he should propose some alternatives that can help his superior to change. If the superior insists on his own ways, the subordinate can choose to submit his resignation. But slandering one’s superior behind his back is not an honorable thing to do. Bravery that is not in accord with protocols will easily compel one to rebel against one’s superior; resoluteness that is not in accord with reasons will not only ruin a course but also hurt other people.
337. Superior persons possess solid principles and goals, and every plan that they make is firmly grounded in these principles. They do not need to know too many principles, but whatever they know is applied in their daily life. They do not need to do too many things, but whatever they do is done with good reasons. Their minds are calm and rest in good faith as if it is second nature to them. When they do good deeds, they are always apprehensive about not being able to put in their best efforts. Petty persons do the opposite. They do not care about moral principles, they cannot speak of remonstrations that are meaningful, they refuse to take lessons from the virtuous to improve
themselves, and they cannot ground themselves in good deeds. They simply go with the flow, oblivious to their deeds.

Scroll 48: *Ti Lun*
338. Human beings have six types of emotions: Joy, anger, sadness, happiness, fondness, and hatred. If a sage king contravenes these emotions, chaos will arise. If he appeases these emotions, harmony will be achieved. While a sage-king instructs his people in accordance with human sentiments, he also imposes propriety to control these sentiments. While he yields to people’s longings, he also establishes righteous principles to control these longings. If righteous principles are concise and complete, and propriety is orderly and humane, people will easily accept and follow the law and order.

Scroll 8: *Han Shi Wai Zhuan*
339. Why is it that a leader cannot see the wrongdoings of the unscrupulous people around him and spoil those who are unrighteous? This is because he is not wise enough to recognize the wrongdoings of unscrupulous people. Also, his determination to uphold morality and justice is not strong enough to enable him to break free from personal bias and preference.

Scroll 47: *Liu Yi Zheng Lun*
340. A ruler imposing virtuous principles to govern his state is appreciated by the people. A wicked ruler, on the contrary, is blamed and hated even by his own descendants. Therefore, a virtuous ruler can attract people from afar to submit to him, while a wicked ruler can even lose his closest relatives.

Scroll 40: *Xin Yu*
341. I have heard that loyalty, sincerity and kindness could reduce enmity and hatred, but I have never heard that wielding power and authority over others could prevent enmity and hatred from happening.

Scroll 5: *Chun Qiu Zuo Shi Zhuan*, Vol. 2
342. The Duke of Zhou told his son Boqin, the Duke of Lu: “A superior person does not distance himself from his family and relatives, and he will never cause government officials to complain about not being assigned important duties. If an old friend has not erred terribly, do not abandon him. Do not demand perfection from a person.”

Scroll 9: *Lun Yu*
343. A superior person dislikes three types of behavior that diverge from the norm:

1. A fondness for stirring up controversies.
2. A fondness for creating bizarre mysteries.
3. A fondness for changing rules and regulations.

The fondness for stirring up controversies will create commotions. The fondness for creating bizarre mysteries will defy virtues and upset social customs and practices.
The fondness for changing rules and regulations will undermine laws and confuse the standards of behavior. Thus, there is nothing noble about gaining temporary fame, or overcoming a difficult task resigned to circumstances. The highest form of deed is one that is pure without any trace of wickedness. Next to it, is being able to subdue the rising of improper thoughts. Next to that, is being able to stop improper thoughts from turning into actions. If when the improper thoughts are turned into actions, at least keeping the actions from becoming too outrageous, and steering these actions back to the right path without delay. The worst deed would be deviating too far from the right path without any awareness of this happening.

Scroll 46: Shen Jian
才德

*Talents and Virtues*

344. 故曰，百言百當，不若舍趣而審仁義也。
（巻三十五 文子）

344. Rather than hoping that everything we say is a suitable piece of advice that should be accepted, why not deliberate whether what we say is pertinent to benevolence and righteousness?

*Scroll 35: Wen Zi*
345. A piece of writing should not be esteemed for its grandiose writing style. Instead, writing should be esteemed because it can preserve the tradition of virtue. The rhetorics need not be clever and decorative, but it should be careful not to injure morality.

Scroll 47: Zheng Yao Lun
朋黨
Formation of Cliques

346. 故《洪範》曰：「無偏無黨，王道蕩蕩。」
（卷三十九 呂氏春秋）

346. The book of Hong Fan said: “No favor to anyone, no cronies in the government. The righteous path taken by the former sage-kings was fair and mighty.”

Scroll 39: Lü Shi Chun Qiu
347. 君以世俗之所譽者為賢智，以世俗之所毀者為不肖，則多黨者進，少黨者退，是以群邪比周而蔽賢，忠臣死於無罪，邪臣以虛譽取爵位，是以世亂愈甚，故其國不免於危亡。（巻三十一 六韜）

347. When a leader mistakes a person accepted by marketable social standard as a wise person, and mistakes another defamed by society as an unworthy person, this will cause people with the support of a faction to be appointed while those who are not part of a faction cannot get any promotion. As a result, the malicious factions will band together to oust the virtuous and the able; loyal ministers who are innocent will be condemned to death, while ministers with undeserved reputation will be knighted. So the days will become more tumultuous and the survivability of the country will be numbered.

Scroll 31: Liu Tao
辨物

*Differences that Matter*

348. 貴者狎而敬之，畏而愛之。愛而知其惡，憎而知其善。（卷七 禮記）

348. Stay close to the virtuous but accord them with due respect. See them as formidable but regard them with admiration. Be aware of the shortcomings in those we love and recognize the goodness in those we detest.

*Scroll 7: Li Ji*
349. Confucius said: “A superior person is self-confident without being arrogant. A petty person is arrogant yet lacks self-confidence.”

Scroll 9: *Lun Yu*
350. Confucius said: “A superior person does not recommend a person on account of what he says. Neither does he dismiss what is said on account of the speaker.”

Scroll 9: *Lun Yu*
351. 得十良馬，不如得一伯樂；得十利劍，不如得一歟冶。多得善物，不如少得能知物。知物者之致善珍，珍益廣，非特止於十也。
（卷四十四 恒子新論）

351. It is better to acquire one remarkable horse expert like Bo Le than to own ten superb horses. It is better to acquire one exemplary swordsman like Ou Yezi than to own ten sharp swords. Acquiring many possessions is not as useful as acquiring a few experts who can identify exquisite items for you. The experts can help you to source and collect more valuable items, and the total number of possessions you own could be more than tenfold greater in the end.

Scroll 44: Huan Zi Xin Lun
352. An ancient text said: “If you do not understand the son, just look at his friends and you will find the answer. If you do not understand the leader, just look at the ministers by his side and you will find the answer.” Like-minded people will seek each other’s company.

Scroll 38: *Sun Qing Zi*
353. 孟子曰：「仁之勝不仁也，猶水之勝火也。今之為仁者，猶以一杯水，救一車薪之火也，不息則謂水不勝火者，此與於不仁之甚者也。」
（卷三十七 孟子）

353. Mencius said: “Benevolence subdues its opposite just as water subdues fire. Nowadays, those who claim to implement a benevolent government do it as if with one cup of water they could save a whole wagonload of burning fuel, and when the flames are not extinguished, then say that water cannot subdue fire. This is worse than a government that is not benevolent because in the end, even the small amount of benevolence will be lost.”

Scroll 37: Meng Zi
354. Potential rebels would reveal shades of guilt on their faces when they speak. People who have doubt in their mind would speak incoherently like branches spreading out in a disorderly fashion. Kind people would speak little while impetuous people talk volubly. Those who malign the kind-hearted would speak without focus. As for those who have lost their personal integrity, their words would reflect the crookedness of their minds.

Scroll 1: Zhou Yi
355.國家將有失道之敗，而天乃先出災害，以譴告之；不知自省，又出怪異，以警懼之；尚不知變，而傷敗乃至。以此見天心之仁愛人君，而欲止其亂也。（卷十七 漢書五）

355. When a nation is about to fall because of the prevalence of moral decadence, natural disasters will happen as a warning to the leader of the state. If the leader is still oblivious to this and does not know how to reflect upon himself, abnormal and strange events will occur to frighten him. If he still does not try to correct things, harm and defeat will set in. Thus, it can be seen that Tian (heaven) is kind to the leader and hopes to stop him from making disastrous decisions.

Scroll 17: Han Shu, Vol. 5
356. The Divine being will not bestow blessings or cast curses on one family alone. Those who do good deeds will be blessed with luck and fortune, while those who do bad deeds will be plagued with misfortune. Even small virtuous deeds can cause the whole nation to rejoice; while bad deeds, even if they are just minor offenses, may cause the whole nation to crumble.

Scroll 2: Shang Shu
357. Life or death, fortune or misfortune, are all but the result of our own doings. When people accumulate a multitude of meritorious deeds, no natural catastrophe will befall them.

Scroll 10: Kong Zi Jia Yu
358. When people behave badly upon the learning of good omens, the good omens will change to become disasters.

Scroll 40: Jia Zi
359. Natural disasters can be averted as long as people are willing to abandon their devious ways and do more good instead. But if they continue to commit bad deeds without remorse, they will never be able to escape the onslaught of misfortunes.

Scroll 2: *Shang Shu*
360. The sages are like creditors who hold on to the left side of a contract, but who do not use it to pressure debtor to return the borrowed goods. The virtuous, just like the sages, are always giving but not collecting. On the other hand, unscrupulous people are like tax collectors who are always collecting but not giving. In the way of heaven, there is no partiality of love; it is always on the side of the good man.\(^\text{17}\)

Scroll 34: *Lao Zi*

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\(^{17}\)In ancient China, the “contract” was a bamboo piece divided into half, with the list of borrowed items engraved on each half—the left half with the debtor’s name is retained by the creditor, the right half with the creditor’s name is retained by the debtor. When goods are returned, both halves are matched to authenticate the contract.
**Glossary**

*Bao Pu Zi*

_Bao Pu Zi_ was written in the Eastern Jin dynasty (317–420) by Ge Hong 葛洪. He was a believer of Daoism and a medical specialist, and practiced the skill of making pills for immortality.

*Chang Yan*

_Chang Yan_ contains the frank and open advice given by Zhongchangzi 仲長子. Zhongchangzi promotes propriety, righteousness, integrity and moral shame. He advises rulers to deploy able and virtuous people and advocates the adherence to strict laws. The book, which is also known as _仲長子昌言 (The Frank Advice of Zhong Chang Zi)_ , mainly discusses how a ruler can rule a country properly. This entire book was still preserved during the Song dynasty (960–1279), but now it no longer exists in its entirety.

*Chun Qiu Zuo Shi Zhuan*

_Chun Qiu Zuo Shi Zhuan_ (Commentary of Zuo on the Spring and Autumn Annals) or _Zuo Zhuan_ is sometimes known as the _Chronicle of Zuo_. It is among the earliest Chinese works of narrative history and covers the period from 770-476 BC. It is one of the three commentaries to the _Spring and Autumn Annals_. _Zuo Zhuan_ was traditionally attributed to Zuo Qiu Ming 左丘明 from Lu (state) at the end of the Spring and Autumn period** (770-476 BC), but the actual compiling time was the mid-Warring States period (475–221 BC).

*Cui Shi Zheng Lun*

崔寔, or sometimes known as Cui Shi 崔氏, was a political theorist from the Eastern Han dynasty (25–220). He was known for his filial piety. Cui Shi was a government official who was thrifty and morally upright. _Cui Shi Zheng Lun_ (Political Treatise by Cui Shi), discusses many contemporary issues. The characteristic feature of this work is its directness.

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*The references are primarily from wikipedia.org, chinaculture.org, and chinaknowledge.org websites, and Lü et al., Qunshu Zhiyao Kaoyi.*

**The Spring and Autumn Period starts from 770 BC when King Ping of Zhou moved the capital of Zhou dynasty east from Haojing to Luoyi and ends at 476 BC when the States of Han, Wei and Zhao divided the Jin territory between themselves (known as the "Three Jin Territories" or "The Partition of the Jin State", the watershed between the Spring and Autumn and Warring States period.)
Dian Lun

Dian Lun 典論 (Critique of Literature) was written by Cao Pi 曹丕 (187–226). Cao Pi was formally known as Emperor Wen 文帝 of the kingdom of Wei 魏國. He was the first emperor of the state of Cao Wei 曹魏 during the Three Kingdoms period (220–265) and the second son of the late Han dynasty (25–220) warlord Cao Cao 曹操.

Dian Yu

The author of Dian Yu 典語 (Political Discourse) is Lu Jing 陸景 (250–281). Lu Jing lived for only 31 years but he wrote many books, all of which were lost except Dian Yu. This book discusses politics and stresses on paying high salaries to government officials to eradicate corruption.

Fu Zi

Fu Zi 傅子 was written by Fu Xuan 傅玄, who was from the Western Jin dynasty (265–317). His book mentions that nature goes according to the flow of Qi 氣. Generally, Fu Xuan criticizes the existence of gods and mysteries. He proposes that the majority of the people should become farmers rather than scholars, businessmen, and laborers.

Guan Zi

Guan Zi 管子 discusses Legalism, Confucianism, Daoism, as well as military and agricultural aspects. Its abundance of historical information brings about great research value. It is said that the book was produced by Guan Zhong 管仲, who lived in the Spring and Autumn period (770-476 BC). However, the existing Guan Zi, which was compiled by Liu Xiang 劉向 of the Western Han dynasty (206 BC–9 AD), only has 76 remaining chapters. Its contents are classified into 8 categories.

Guo Yu

Guo Yu 國語 (Discourses of the States) is regarded as a more detailed version of the Spring and Autumn Annals. It is a historical miscellanea about persons, events, and sayings of eight states, including Zhou, Lu, Qi, Jin, Zheng, Chu, Wu, and Yue during the Western Zhou dynasty (1046–771 BC) and the Spring and Autumn period (770-476 BC). The records of Guo Yu are invaluable original historical materials; hence Sima Qian 司馬遷 absorbed many historical materials from it when he wrote Shi Ji 史記.
**Han Shi Wai Zhuan**

*Han Shi Wai Zhuan* 韓詩外傳 is sometimes translated as *Illustrations of the Didactic Application of the Classic of Songs* by Han Ying 韓嬰. It is a commentary and complement to the *Shi Jing* 詩經 (*Book of Odes*) by Han Ying.

**Han Shu**

*Han Shu* 漢書 is sometimes translated as the *History of the [Former] Han Dynasty* or *Book of Han*. It offers a detailed history of the Western Han dynasty (206 BC–9 AD), and biographies of many individual figures help to supplement the historical facts. *Han Shu* has 100 chapters. The author, Ban Gu 班固, started to write *Han Shu* based on *Hou Zhuan* 後傳 (*Later Traditions*) written by his father Ban Biao 班彪, but Ban Gu died and left the book unfinished in 92 AD. Ban Gu’s sister Ban Zhao 班昭 continued working on it. It is regarded as one of the *Four Histories* 四史 of the *Twenty-Four Histories* canon.

**Han Zi**

*Han Zi* 韓子 is also known as *Han Fei Zi* 韓非子. It is the work of Han Fei 韓非 (280–233 BC), who was a great master of the Legalist school during the Warring States period (475–221 BC), and was the disciple of Xunzi 荀子. Han Fei inherited the theory from his teacher Xunzi, which says human nature is evil, and proposed to govern a country with punishment and reward.

**He Guan Zi**

He Guanzi 鹵冠子 was from the state of Chu in the Spring and Autumn period (770-476 BC). He used a fighting bird’s feathers to make his headwear (guan 冠); hence he got the name He Guanzi. His book has 19 chapters and centers around Daoism.

**Hou Han Shu**

*Hou Han Shu* 後漢書, which is also translated as *History of the Later Han Dynasty* or *Book of the Later Han*, is a second work after *Han Shu*. The book covers the history of the Eastern Han period (25–220), and was compiled in the 5th century by Fan Ye 范曄 (398–445). Like the *Han Shu*, *Hou Han Shu* is part of the early four historiographies of the *Twenty-Four Histories* canon.
Huai Nan Zi

Huai Nan Zi 淮南子 was compiled by Western Han dynasty's (206 BC–9 AD) Liu An 劉安 and some of the people who visited and stayed with him. It is also known as Huai Nan Hong Lie 淮南鴻烈. The writings exemplify Daoist thinking of the highest level, although it should be noted that the book also combines Confucianism, Legalist thinking, ideas of Yin and Yang, as well as the Five Elements. It also records many legends and fairytales.

Huan Zi Xin Lun

Huan Zi Xin Lun 欢子新論 was written by Huan Tan 欢谭 (20 –56), a philosopher from the Eastern Han dynasty (25–220). He was widely read and good at music. The writings of Huan Tan had influenced the development of atheist thinking.

Jia Zi

Jia Zi 賈子 was written by Jia Yi 賈誼 (200–168 BC) from Luoyang. Jia Yi was a famous politician and writer. Jia Zi is also known as Xin Shu 新書. Jia Yi commented on contemporary politics numerous times and suggested the combination of power between the different states (as opposed to an individual ruler’s power) and the concentration on agriculture more than business.

Jiang Zi Wan Ji Lun

Jiang Zi Wan Ji Lun 蒋子萬機論 can be translated as Memorials to the Emperor by Jiang Zi. It was written by Jiang Ji 蒋濟 to King Wen 魏文帝 from the kingdom of Wei during the Three Kingdoms period (220–265).

Jin Shu

Jin Shu 晉書 is one of the official Chinese historical works. It covers the history of the Jin dynasty from 265 to 420. It was written by a number of officials who were commissioned by the court of the Tang dynasty (618–907). The lead editor was the prime minister Fang Xuan Ling 房玄齡. Jin Shu draws mostly from the official documents in earlier archives. The book also includes the history of the Sixteen Kingdoms which were contemporaneous with Eastern Jin (317–420). Several essays in the biographical volume were composed by Emperor Taizong of the Tang dynasty.

Kong Zi Jia Yu

Kong Zi Jia Yu 孔子家語 is sometimes known as the Familiar Discourses of Confucius. It is a book of famous sayings by Confucius compiled by Wang Su 王肅.
Lao Zi
Lao Zi 老子 (also known as Dao De Jing 道德経) is a Daoist philosophical work written in verse. The book has 81 chapters and is divided into two parts, namely dao (the Way) and de (virtues). It is regarded as the greatest classic of Daoism.

Li Ji
Li Ji 禮記 is variously translated as the Record of Rites, Classic of Rites, Book of Rites, or Book of Customs. It was one of the Wu Jing 五經 (Five Classics). It describes the social forms, governmental system, and ancient/ceremonial rites of the Zhou dynasty (1046–256 BC). The original text is believed to have been compiled by Confucius himself, but sadly, many Confucian classics (including this book) were destroyed during the rule of Qin Shi Huang 秦始皇, the "First Emperor of Qin dynasty" (221–206 BC). Hence, the edition usually referred to today was edited and reworked by various scholars during the Han dynasty (206 BC–220 AD), including Dai De 戴德 (also called Senior Dai), who reworked the text in the 1st Century BC, and his younger brother Dai Sheng 戴聖 (Junior Dai). The Record of Rites known today is attributed to Dai Sheng.

Lie Zi
Lie Zi 列子 is an eight-volume book based on the compilation of ideas from many books. Lie Zi discusses politics, economics, military matters, philosophy, customs, and the natural sciences.

Liu Tao
Liu Tao 六韜, or the Six Strategies, is a famous book on the art of war in ancient China. It consists of 6 chapters and 60 articles in total, and it is the longest work on military strategies during the pre-Qin days (before 221 BC). After the Western Han dynasty (206 BC–9 AD), Liu Tao began to spread widely. After the Tang dynasty (618–907), militarists often quoted from Liu Tao. It was regarded as the indispensable book for militarists in the Song dynasty (960–1279).

Liu Yi Zheng Lun
Liu Yi Zheng Lun 劉廙政論 can be translated as Political Treatise by Liu Yi. This 5-scroll book only has eight chapters left at present.
**Qunshu Zhiyao 360**

**Lü Shi Chun Qiu** 呂氏春秋, also known as *Spring and Autumn Annals of Premier Lü Bu Wei*, is an encyclopedic Chinese classic compiled around 239 BC under the patronage of Chancellor Lü Bu Wei 呂不韋 of the Qin dynasty (221–206 BC). Its content is wide-ranging and includes Confucianism, Daoism, as well as musical, military and agricultural aspects. It is an important reference for the research on the history of the early state of Qin. It is known for its collection of anecdotes.

**Lun Yu** 論語 (*Analects of Confucius*) was written by the disciples of Confucius during the Spring and Autumn period through to the Warring States period (475–221 BC). The *Analects* records the words and acts of Confucius and his disciples as well as the discussions they held. It offers the essential material for studying Confucianism. The chapters in the *Analects* are grouped by individual themes.

**Mao Shi** 毛詩 (*Classic of Poetry – Mao’s Edition*), is also called *Shi Jing* 詩經 or the *Classic of Poetry, Book of Songs, Book of Odes*, or sometimes known simply by its original name, *The Odes*. It is the earliest existing collection of Chinese poems and songs. It comprises 305 poems and songs, with many ranging from 10–7 BC. As with all great literary works of ancient China, *Shi Jing* has been annotated and commented on numerous times throughout history. The annotations by the Han dynasty (206 BC–220 AD) scholar Mao Heng 毛亨 and his nephew Mao Chang 毛苌 are most well known and are considered authoritative.

**Meng Zi** 孟子 (*Book of Mencius*) is the sayings of Mengzi or Mencius 孟子 and his disciples. Mencius proposed a theory of virtuous benevolence. He believes that humans are born with four moral characters: benevolence, righteousness, propriety, and wisdom. Humans would lose these moral characters if they did not maintain or develop them through practice. Hence, he insists that people pay attention to inner cultivation. Mencius proposed to engage benevolence to gain the allegiance of other countries instead of war.
Mo Zi
Mozi 墨子 was the founder of the Mohist School. He lived in the state of Lu 魯国, between the late Spring and Autumn period (770-476 BC) and the Warring States period (475–221 BC). Mozi thinks that Confucianism centers too much on proprieties. He believes in serving the interests of others by greatly sacrificing oneself. Further, he opposes invasion and war. The book Mo Zi is a philosophical text compiled by Mohists based on Mozi’s thoughts.

Qian Fu Lun
Qian Fu Lun 潛夫論 (Comments of a Recluse) was written by Wang Fu 王符 during the Eastern Han dynasty (25–220). The work was named as such to hide his identity from the public. Wang Fu criticized the contemporary government and revealed the misconduct of government officials, including their extravagance and oppression of the people.

San Lüe
San Lüe 三略 (Three Strategies) is divided into three parts: Shang Lüe 上略, Zhong Lüe 中略, and Xia Lüe 下略. The first two parts quote from military writings of the past, Jun Chen 軍讖 (Military Prophecies) and Jun Shi 軍勢 (Military Power) and elaborates them, while the third part is the author’s own discussion. Some attribute the work to Huang Shi Gong 黃石公, but in recent research, it is said that this book was written by an anonymous person between the Qin dynasty (221–206 BC) and Han dynasty (206 BC–220 AD).

Shang Jun Zi
Shang Jun Zi 商君子 was written by Shang Yang 商鞅 and his students. Shang Yang was an outstanding politician during the Warring States period (475–221 BC). He believes in innovation. His theory has helped Qin Shi Huang 秦始皇 (259–210 BC) unify China.

Shang Shu
Shang Shu 尚書 (Classic of History) or Shu Jing 書經 is a compilation of documentary records related to events in ancient Chinese history. Other translated titles of the book include Classic of Documents, Book of History, or Book of Documents.
Shen Jian

Shen Jian (Mirrors of the Past, Lessons for the Future) was written by Xun Yue 荀悦. Xun Yue was a politician and historian from the late Eastern Han dynasty (25–220) who advocated Confucianism. The book Shen Jian, which is divided into five chapters, uses historical examples as advice on how to rule a country. It emphasizes benevolence and righteousness as the moral foundation of human beings.

Shen Zi

Shen Zi 慎子 is a Legalist text by Shen Dao 慎到 (395–315 BC) from the Warring States period (475–221 BC). Shen Dao believes in the use of law to govern a country.

Shi Ji

Shi Ji (Records of History or Records of the Grand Historian), written from 109–91 BC, was the Magnum opus of Sima Qian 司馬遷. Sima Qian recounted Chinese history from the time of the Emperor Huang (2600 BC) until Sima Qian’s own time, the Western Han dynasty (206 BC–9 AD) under the reign of Emperor Han Wu Di 漢武帝. It covers more than 3,000 years of Chinese history, and is divided into 130 scrolls. When compiling this book, Sima Qian consulted a wealth of literatures as well as archives and ancient books written by ordinary people. Interviews and field investigations were also employed to ensure the accuracy of the content. As the first systematic text on the history of ancient China, Shi Ji profoundly influenced Chinese historiography and prose.

Shi Zi

The book Shi Zi 尸子 was authored by Shi Jiao 尸佼 (390–330 BC) who lived in the state of Jin during the Warring States period (475–221 BC). Legend says that he was the teacher of Shang Yang 商鞅.

Shu Zhi

San Guo Zhi 三國志 (Records of the Three Kingdoms) is a 65-volume historical record on the three kingdoms of Wei, Shu and Wu (220–265). San Guo Zhi is divided into three books, and Shu Zhi 蜀志 (Book of Shu or Collected Biographies of the Kingdom of Shu) is one of them. Shu Zhi contains 15 volumes. San Guo Zhi records the important figures of that period. The histories of the ethnic minorities in China and those of the neighboring countries are also included. It was written mainly as a series of biographies by Chen Shou 陳壽 during the Jin dynasty, (265–420) and was annotated by Pei Song Zhi 裴松之 in Song (state) of the Southern dynasty (420–589).
Shuo Yuan

Shuo Yuan (The Garden of Stories) was written by Liu Xiang during the Western Han dynasty (206 BC–9 AD). It contains anecdotes from the ancient Qin dynasty (221–206 BC) to the Han dynasty (206 BC–220 AD), with arguments to publicize political thoughts, ethics, and morality propounded by Confucianism.

Si Ma Fa

Si Ma Fa (The Methods of Sima) is a book of military systems from ancient times. It summarizes the use of proprieties to manage the army during the Shang (1600–1046 BC) and Zhou dynasties (1046–256 BC).

Sun Qing Zi

Sun Qing Zi (孫卿子) was also known as Xun Kuang or Xunzi. He was a famous philosopher and educator from the state of Zhao during the late Warring States period (475–221 BC). The work Sun Qing Zi revolves around nature, understanding knowledge, logical thoughts, ethical management, and how to rule a country.

Sun Zi

Sun Zi (孫子) or Sun Zi Bing Fa (孫子兵法, Sun Zi’s Art of War) is the world’s earliest military book extant in China. After the Song dynasty (960–1279), it was listed as the first book of the Seven Military Classics. This book was written by Sun Wu at the end of the Spring and Autumn period (770-476 BC). It has 13 articles in total.

Ti Lun

Ti Lun (A Discourse on the Nature of Being) was written by Du Shu. There are altogether 8 chapters in this book. In Ti Lun, Du Shu describes how to use proprieties in the five moral relationships and in politics.

Wei Liao Zi

The book Wei Liao Zi, authored by Wei Liao, is about the management of army and power.

Wei Zhi

Wei Zhi (Book of Wei or Collected Biographies of the Kingdom of Wei) is from San Guo Zhi (Records of the Three Kingdoms). Wei Zhi consists of 30 volumes.
450 Qunshu Zhiyao 360

Wen Zi
Wen Zi 文子 has 12 volumes and elucidates the doctrine of Daoism. This book is believed to be written around the middle to late Warring States period (475–221 BC).

Wu Yue Chun Qiu
Wu Yue Chun Qiu 吳越春秋 (Spring and Autumn Annals of Wu and Yue) is a historical record of the states of Wu and Yue during the Spring and Autumn period (770-476 BC), and is attributed to Zhao Ye 趙俶.

Wu Zhi
Wu Zhi 吳志 (Book of Wu or Collected Biographies of the Kingdom of Wu), is also from San Guo Zhi 三國志 (Records of the Three Kingdoms). It consists of 20 volumes.

Wu Zi
Wu Zi 吳子 records the discussion of war strategies between the Senior General, Wu Qi 吳起, and the Marquess Wen of Wei 魏文侯 and the Marquess Wu of Wei 魏武侯.

Xiao Jing
Xiao Jing 孝經 (Doctrine of Filial Piety), is also known as the Classic of Filial Piety. It is a Confucian classic giving advice on filial piety. This document probably dates back to 400 BC. Its true authorship is unknown, although the work is often attributed to one of Confucius’s disciple named Zengzi 曾子, who recorded the conversations between his teacher Confucius and himself.

Xin Xu
Xin Xu 新序 (The New Order) was written by Liu Xiang 劉向. Its main theme revolves around a benevolent government, as propounded by Confucianists. Liu Xiang saw the Han dynasty (206 BC–220 AD) weakening, so he wrote Xin Xu to criticize the ruler and to offer advice to government officials. He mocked feudalism and voiced men’s dissatisfaction with a feudal lord. As the focus is on pre-Qin (before 221 BC) experiences, the historical facts were not carefully verified. However, given the frequent use of fables, Xin Xu is of great literary value.
**Xin Yu**

The book *Xin Yu* 新語 (*New Commentaries*) was written by Lu Jia 陸賈 in the early Han dynasty. However, the title *Xin Yu* was not given by Lu Jia himself. This book shows Lu Jia’s attempt to help the first emperor of the Han dynasty (206 BC–220 AD), Han Gao Zu 漢高祖, summarize the lessons learned from the Qin (221–206 BC) and Han dynasties. The book promotes the use of *Dao* to govern a country.

**Yan Tie Lun**

*Yan Tie Lun* 鹽鐵論 (*Discourse on Salt and Iron*) was written by Huan Kuan 桓寬 during the Western Han dynasty (206 BC–9 AD). This 10-volume, 60-chapter book records the debates between the Han government and more than 60 virtuous scholars and intellectuals in Chang’an. The main debate was on the state monopole over salt and iron production and distribution in 81 BC, but issues of politics, economy, culture, and military matters were also brought up.

**Yan Zi**

The book *Yan Zi* 晏子 was written by Yan Ying 晏嬰 from the Spring and Autumn period (770–476 BC). Yan Ying served as a government official for Duke Ling of the state of Qi 齊靈公, Duke Zhuang of Qi 齊莊公 and Duke Jing of Qi 齊景公. Yan Ying encourages the thrifty management of the government. He also believes in reducing punishment and taxes.

**Yin Mou**

*Yin Mou* 隱謀 (*Secret Strategies*) is a record of the questions and answers between Jiang Tai Gong 姜太公 and King Wu 周武王 of Zhou dynasty (1046–256 BC) with respect to the ways of ruling a country and educating its people.

**Yin Wen Zi**

*Yin Wen Zi* 尹文子 was written by Yin Wen 尹文 during the Warring States period (475–221 BC) is divided into two parts. This philosophical treatise mainly describes the principles of good politics. Yin Wen said: “If one cannot rule by the principles of *Dao*, then use the law. If laws do not work, use tactics. If tactics fail, use authority. Finally, if authority has no effect, use force/influence.”
Yu Zi
The author of the book *Yu Zi* is uncertain. The book offers thorough knowledge of kind and compassionate governing of a country. The welfare of the people is considered as the priority. Also, *Dao* is promoted in *Yu Zi*.

*Yuan Zi Zheng Shu*
*Yuan Zi Zheng Shu* was written by Yuan Zhun. Yuan Zhun believes that one has to strike a balance between virtues and laws when ruling a country.

*Zeng Zi*
Zengzi, also known as Zengsen (505–436 BC) was a student of Confucius from the state of Lu (1042–249 BC). He was famous for his filial piety. The book expounds Zengzi’s thoughts and has 18 chapters.

*Zheng Yao Lun*
*Zheng Yao Lun* (A Discourse on the Importance of Politics) was written by Huan Fan from the Three Kingdoms (220–265). This book discusses ways to deploy government officials. Huan Fan believes that a country’s prosperity is dependent on a leader’s humility and receptiveness of the advice given to him.

*Zhong Lun*
*Zhong Lun* (A Discourse on the Middle Way) was written by Xu Gan, a philosopher and poet. He was among the Jian-an-qi-zi or the seven famous poets in the late Eastern Han dynasty (25–220) who upheld Confucianism. Xu Gan was against exegetical studies. He believes that righteousness is of primary importance.

*Zhou Li*
*Zhou Li* (Rites of Zhou) is one of three ancient ritual texts listed among the classics of Confucianism. It was later renamed *Zhou Li* by Liu Xin. For many centuries this book was joined with the *Li Ji* (Record of Rites) and the *Yi Li* (Etiquette and Rites) as the Three Rites of Chinese literature.
Zhou Shu

Zhou Shu (Book of Zhou or Documents of Zhou) records the official history of the Northern Zhou dynasty (557–581) and ranks among the official Twenty-Four Histories of imperial China. It was compiled by the Tang dynasty (618–907) historian Linghu Defen and was completed in 636 AD. It consists of 50 scrolls. Some chapters have been lost and were replaced by other sources.

Zhou Yi

Zhou Yi (Book of Changes) or Yi Jing dates back to 2800–2737 BC, the divinity system developed over time to become the most influential philosophy in the literature and government administration of the Zhou dynasty (1046–256 BC). Later, during the time of Spring and Autumn period (770-476 BC), Confucius is traditionally said to have written the Shi Yi, Ten Wings, a group of commentaries on Yi Jing. By the time of Emperor Han Wu Di of the Western Han dynasty (206 BC–9 AD), Shi Yi was often called Yi Zhuan (Commentary on the Yi Jing).

Zhuang Zi

Zhuang Zi, sometimes called the Book of Zhuang Zi, is a collection of the sayings of the Daoists written by Zhuang Zhou and his students. Zhuang Zhou was a thinker from the Warring States period (475–221 BC). The book originally had 52 sections, but only 33 sections remain now. Being an important classic of Daoism, the content of Zhuang Zi is very close to that of Lao Zi. Zhuang Zi expresses the Daoist philosophy with many fables, leaving many vivid stories to later generations.
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